



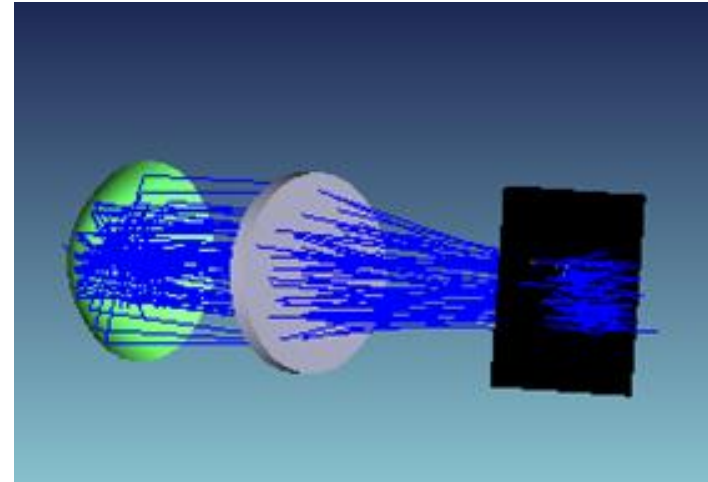
Lectures Notes on Optical Design using Zemax OpticStudio

Lecture 18

Non-Sequential Mode in Zemax

Ahmet Bingül

Gaziantep University
Department of Optical
Engineering



May 2024

Content

1. Introduction
2. Simple Non-Sequential Mode Application

Introduction

There are 2 distinct ray-tracing modes in Zemax (OpticStudio)

- Sequential
- Non-sequential

In addition, a **hybrid mode** exists in which sequential and non-sequential ray-trace are used in the same system.

In this lecture, we will see some basic applications of Non-sequential ray tracing in Zemax.

Sequential Mode

- It is mainly used for designing imaging and afocal systems.
- Surfaces are defined in the Lens Data Editor.
- Ray can only intersect each surface once and has to do it in a specified -sequential- order (i.e. surface #0 then #1 ,#2 ...) and hence the name sequential ray tracing.
- Ray can only reflect if the surface material type is MIRROR. *Partial reflections from refractive surfaces (Fresnel reflections) are accounted for to the extent of calculating the correct refracted energy, including the effects on dielectric or metallic mirrors.*
- Each surface has its own local coordinate system. The position of each surface along the optical axis is referenced to the previous surface. In other words, the “Thickness” column in the Lens Data Editor refers to the distance from current surface and not from a global reference point.

Non-sequential Mode

- It is primarily used for non-imaging applications such as illumination systems and/or stray-light analysis.
- Surfaces or volume objects are defined in the Non-Sequential Component Editor
- Mechanical components may be easily imported from CAD programs, so that full Opto-Mechanical analysis may be undertaken.
- A ray can intersect the same object more than once and can intersect multiple objects in any order; hence the name non-sequential.
- Each object is referenced to a global coordinate, unless specified otherwise.
- Imaging-system properties such as stop location, entrance and exit pupil, field, system aperture etc. that exist in sequential systems may not be meaningful in non-sequential systems.
- The main analysis feature in non-sequential mode is the detector ray-trace, which gives spatial and angular data on incoherent or coherent rays.



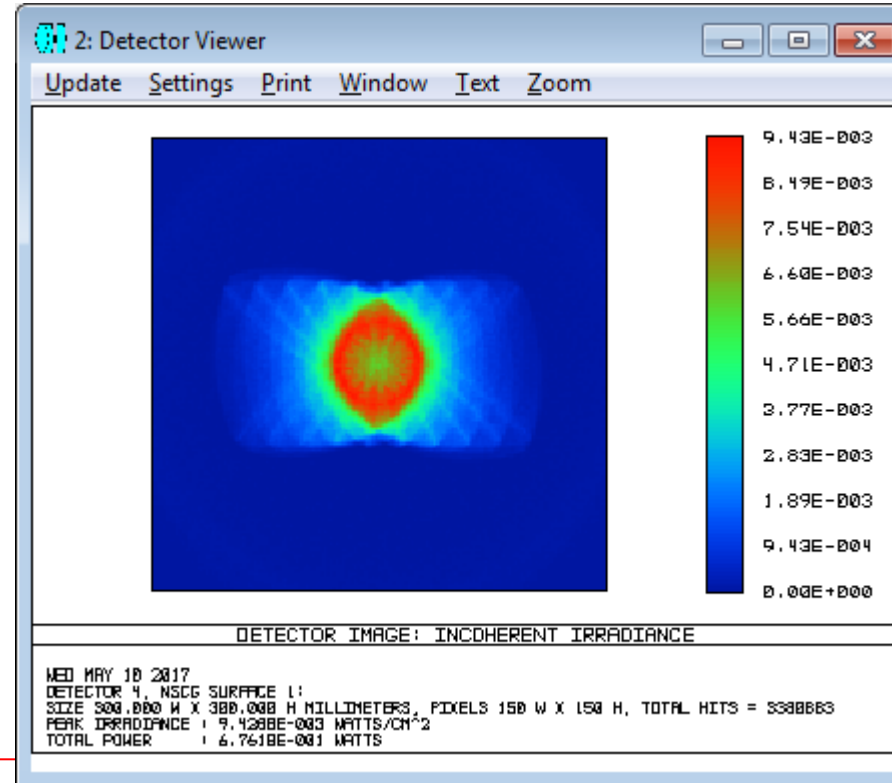
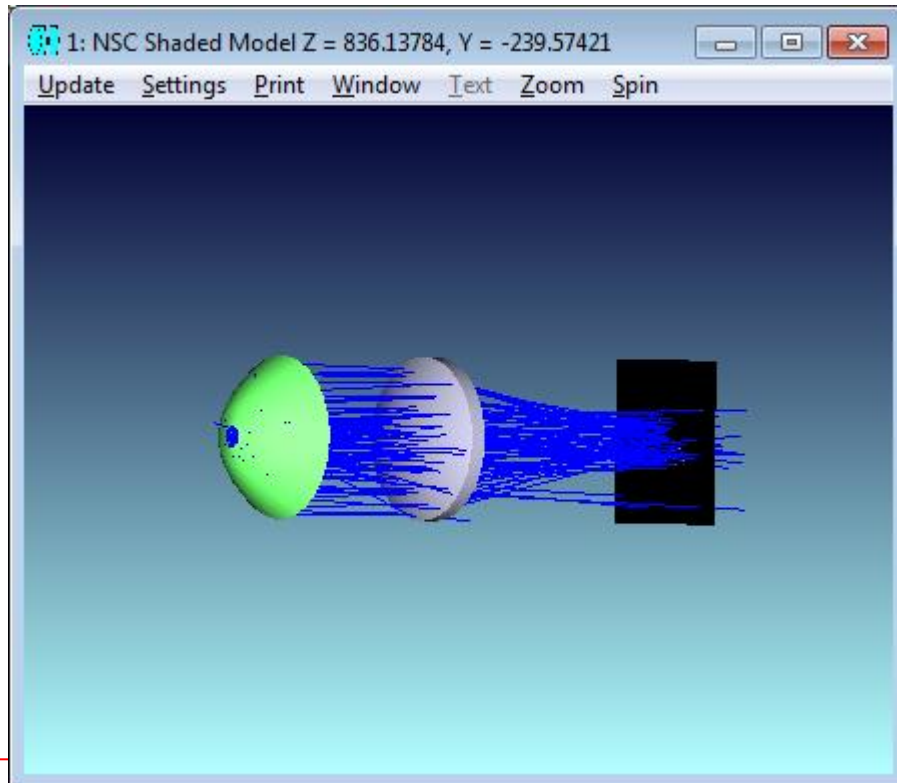
Stray ray example

Example 1: Simple Non-Sequential Mode Application

We will make a non-sequential system with

- a filament source
- a parabolic reflector
- a plano-convex lens
- a rectangular detector

as shown in the layout below:

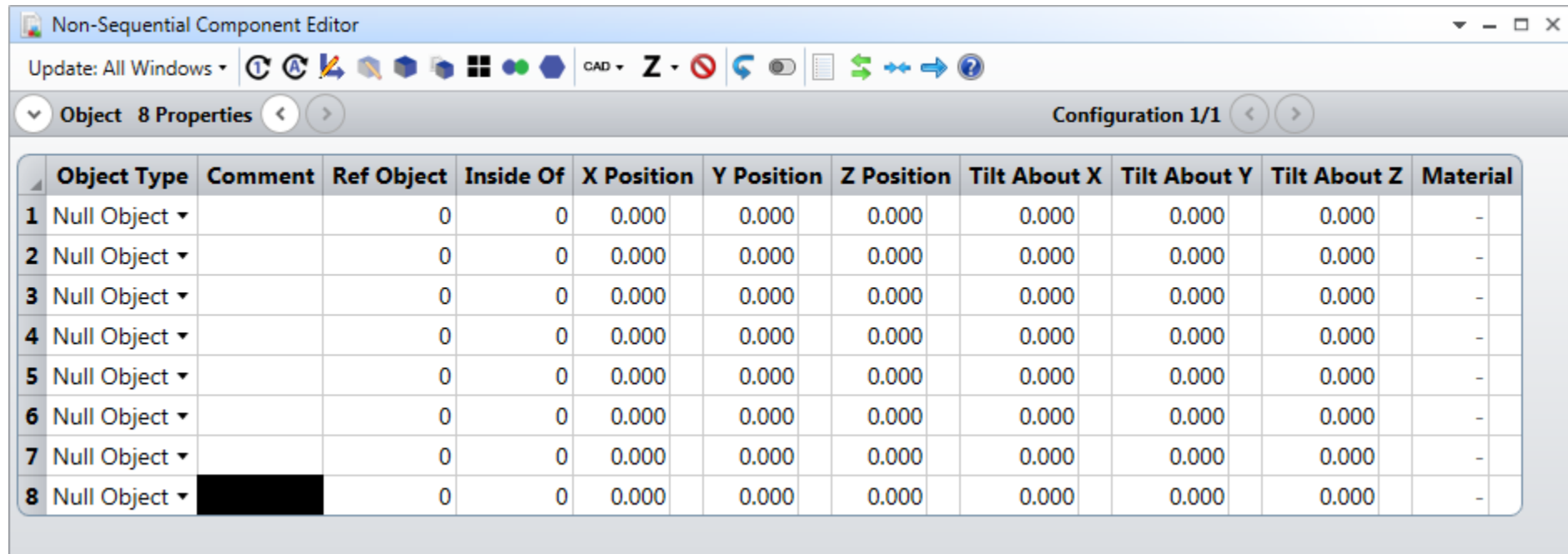


To start Non-sequential mode:

Click on Setup tab, then select Non-Sequential

This command starts Non-Sequential Component Editor.

Press insert key a few times to open new lines (starting with Null Object).



The screenshot shows the 'Non-Sequential Component Editor' window. The title bar includes standard window controls and the text 'Non-Sequential Component Editor'. Below the title bar is a toolbar with various icons for navigation and editing. The main area displays a table with 8 rows, each representing a component. The table has the following columns: Object Type, Comment, Ref Object, Inside Of, X Position, Y Position, Z Position, Tilt About X, Tilt About Y, Tilt About Z, and Material. All rows are currently set to 'Null Object' with default values for the other fields.

	Object Type	Comment	Ref Object	Inside Of	X Position	Y Position	Z Position	Tilt About X	Tilt About Y	Tilt About Z	Material
1	Null Object ▾		0	0	0.000	0.000	0.000	0.000	0.000	0.000	-
2	Null Object ▾		0	0	0.000	0.000	0.000	0.000	0.000	0.000	-
3	Null Object ▾		0	0	0.000	0.000	0.000	0.000	0.000	0.000	-
4	Null Object ▾		0	0	0.000	0.000	0.000	0.000	0.000	0.000	-
5	Null Object ▾		0	0	0.000	0.000	0.000	0.000	0.000	0.000	-
6	Null Object ▾		0	0	0.000	0.000	0.000	0.000	0.000	0.000	-
7	Null Object ▾		0	0	0.000	0.000	0.000	0.000	0.000	0.000	-
8	Null Object ▾		0	0	0.000	0.000	0.000	0.000	0.000	0.000	-

*** Object1

Standart Surface

Material	Mirror
Radius	100
Conic	-1 (parabola)
Max Aper	150
Min Aper	20 (center hole in the reflector)

*** Object2

Source Filament

Z position	50 (focus of the parabolic reflector)
# Layout Rays	20
# Analysis Rays	5e6
Length	20
Radius	5
Turns	10
Tilt about Y	90 (deg)
X position	-10 (mm)

*** Object4

Standard Lens

Ref Object 3 (before detector)

Z Position 200

Material N-BK7

Radius 1 300

Clear 1 150

Edge 1 150

Thickness 70

Clear 2 150

Edge 2 150

*** Object5

Detector Rect

Z position 1000

Material Blank (or can be ABSORB or MIRROR)

X Half Width 150

Y Half Width 150

X Pixels 150

Y Pixels 150

Color 1 (detector displays inverse greyscale)

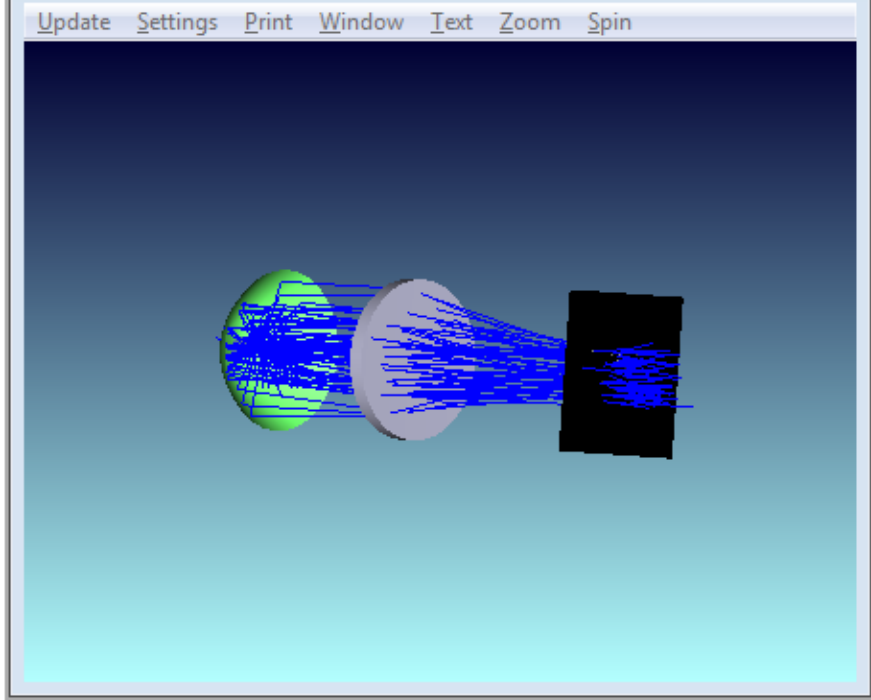
In the analysis you should use **Detector Viewer** and **Ray Trace** buttons.

The screenshot displays the Zemax OpticStudio 19.8 Professional software interface. The main window is titled "Non-Sequential Component Editor" and shows a "Detector Viewer" window with a graph area. The graph area contains the text "Invalid input data/settings." The "Ray Trace Control" dialog box is open, showing various settings for ray tracing, including "Clear Detectors", "Clear & Trace", "Trace", "Auto Update", "Use Polarization", "Split NSC Rays", "Save Rays", "ZRD Format", "Filter", and "Idle". The "ZRD Format" is set to "Compressed Full Data". The "Ray Trace" and "Detector Viewer" buttons in the top toolbar are highlighted with red boxes. The status bar at the bottom of the main window shows the date "28.05.2020" and the text "Invalid input data/settings." The bottom right corner of the status bar shows "Zemax Zemax OpticStudio 19.8" and "LENS.ZMX Configuration 1 of 1".

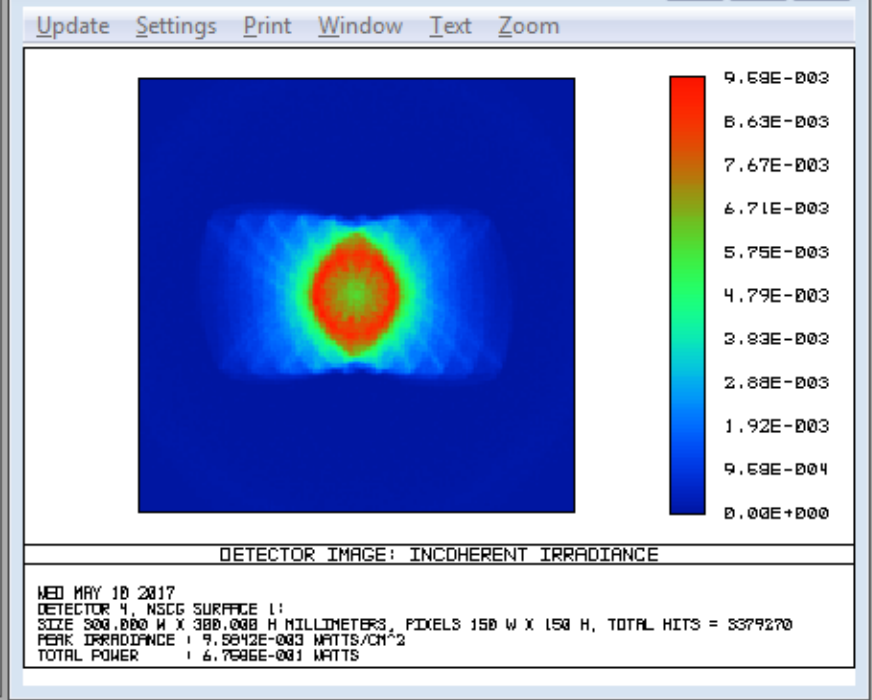
Non-Sequential Component Editor

Object Type	Tilt About Z	Material	X Half Width	Y Half Width	# X Pixels	# Y Pixels
1 Standard ..	0.000	MIRROR	100.000	-1.000	150.000	20.000
2 Source Fi..	0.000	-	100	5000000	1.000	0
3 Standard ..	0.000	N-BK7	300.000	0.000	150.000	150.000
4 Detector ..	0.000		150.000	150.000	150	150
5 Null Object	0.000	-				

1: NSC Shaded Model



2: Detector Viewer



Example 2: How to use LED data with Zemax

LED manufacturers (such as Osram Opto Semiconductors) distribute comprehensive ray-tracing data files to be used in optical simulations such as

eulumdat file,
ray file and
spectrum file.

- In principle, LED is considered to be a point source in eulumdat file which is used for a quick analysis.
- whereas, the ray file represents actual spatial and angular distribution of rays originating from the outer surface of LED. Therefore, ray files can be used in more realistic simulations.
- The spectral distribution of LED (wavelengths emitted and corresponding weights) are stored in spectrum files.

Two types (White and IR) of LED provided by Osram Company will be presented.
[If possible, show ray files and eulumdat files]

Examples:

- **LUW H9GP** a white LED having color temperature of 6500 K.
- **SFH 4718A** which is an IR LED whose peak irradiance is at 850 nm

After downloading LED's simulation files, you should copy and paste files to the related folders:

Geometry files (IGS or STEP) goes to:

C: \<ZEMAX>\Objects\CAD Files

Spectrum files goes to:

C: \<ZEMAX>\Objects\Sources\Spectrum Files

Ray files goes to

C: \<ZEMAX>\Objects\Sources\Source Files

Then, add a rectangular or polar detector

ZEMAX-EE - 19052 - C:\Users\Ahmet Bingul\Desktop\ZEMAX\NonSequential\led.ZMX

File Editors System Analysis Tools Reports Macros Extensions Window Help

New Ope Sav Sas Upd Upa Gen Wav Chk L3n LSn Obv Dcl Dvr Rdb Gla ABg Pre

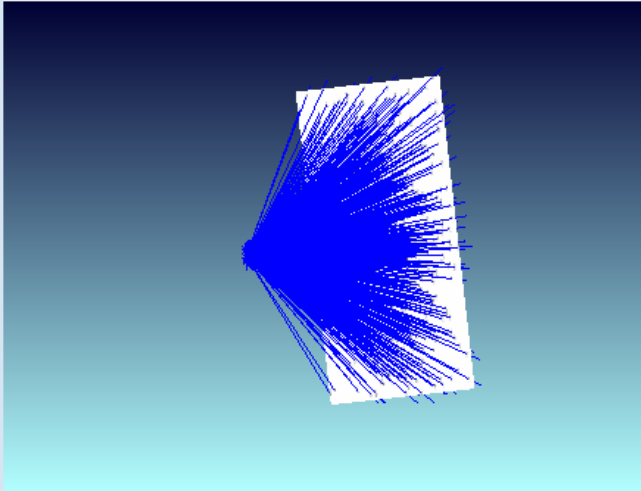
Non-Sequential Component Editor

Edit Solves Errors Detectors Database Tools View Help

Object Type	Z Position	Tilt About X	Tilt About Y	Tilt About Z	Material	X Half Width	Y Half Width	# X Pixels
1 Source File	0.000	0.000	0.000	0.000	-	1000	100000	1.000
2 Imported	0.000	0.000	0.000	0.000		1.000	1	5
3 Detector ..	500.000	0.000	0.000	0.000		500.000	500.000	100
4 Null Object	0.000	0.000	0.000	0.000	-			

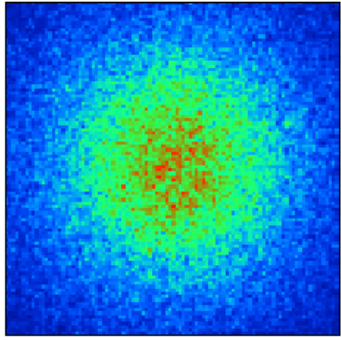
1: NSC Shaded Model

Update Settings Print Window Text Zoom Spin



2: Detector Viewer

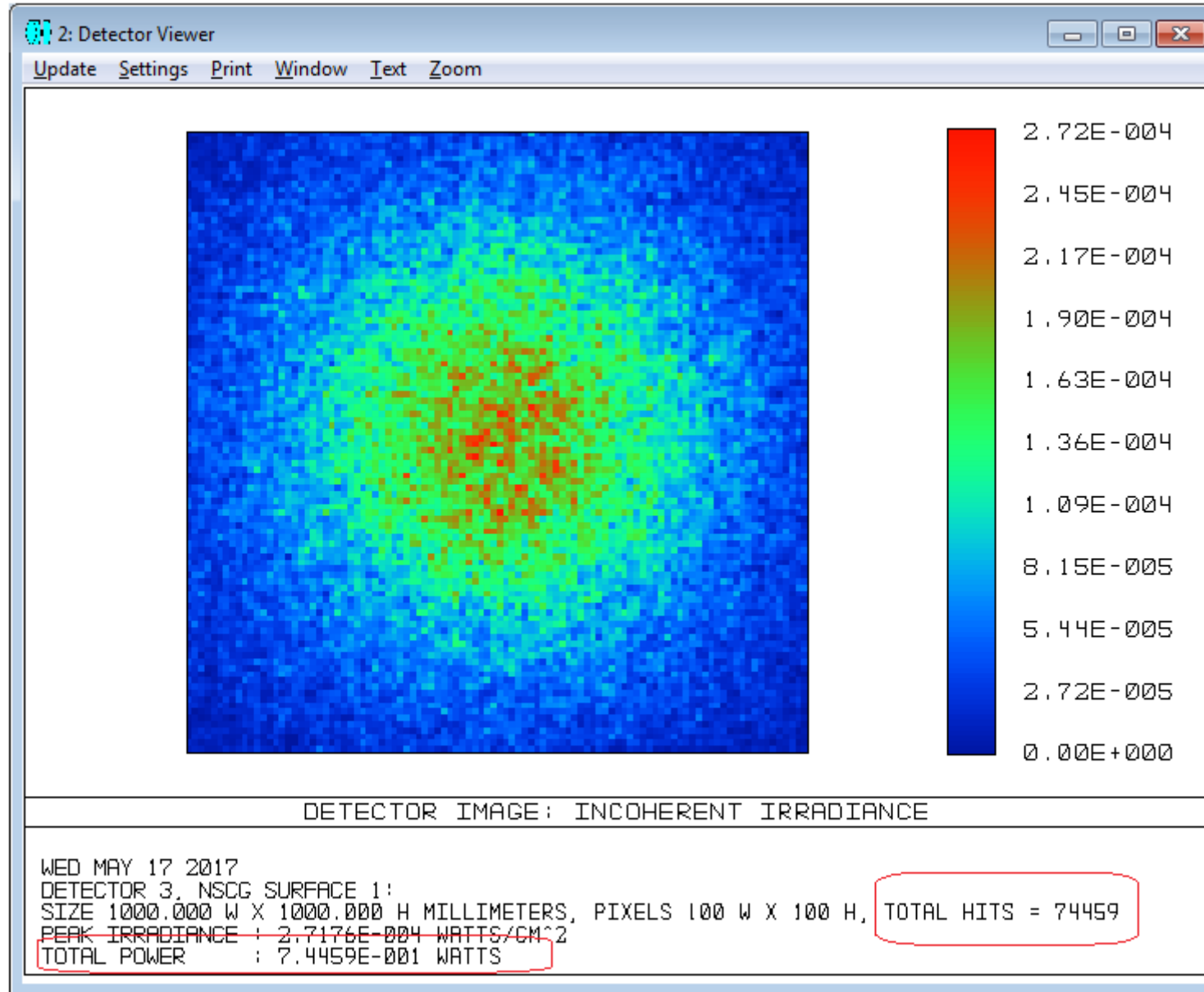
Update Settings Print Window Text Zoom



DETECTOR IMAGE: INCOHERENT IRRADIANCE

THU MAY 11 2017
DETECTOR 3, NSCG SURFACE 1:
SIZE 1000.000 W X 1000.000 H MILLIMETERS, PIXELS 100 W X 100 H, TOTAL HITS = 74459
PEAK IRRADIANCE : 2.7176E-004 WATTS/CM^2
TOTAL POWER : 7.4459E-001 WATTS

The detector



Example 3: Array of point sources

Consider we have a point source with cone angle 20° .

Non-Sequential Component Editor

Update: All Windows

Object 1 Properties Configuration 1/1

Type
Draw
Sources
Coat/Scatter
Scatter To
Volume Physics
Index
Diffraction
CAD

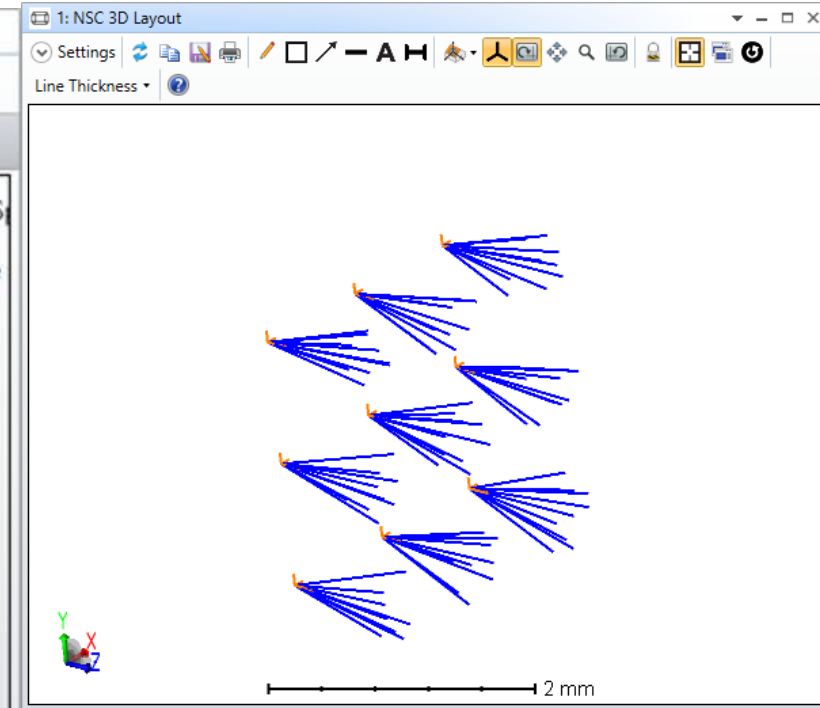
Polarization
 Random Polarization
Initial Phase (deg): 0
Coherence Length: 0

Raytrace
 Reverse Rays
Pre-Propagation: 0
Bulk Scatter: Many
Sampling Method: Sobol

Array
Array Type: Rectangular
Number X: 3
Number Y: 3
Spacing X: 1
Spacing Y: 1

Color/S
Source

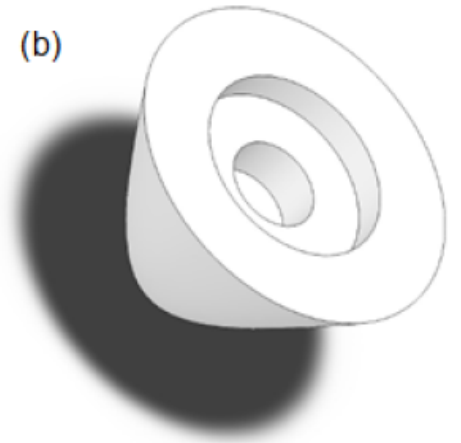
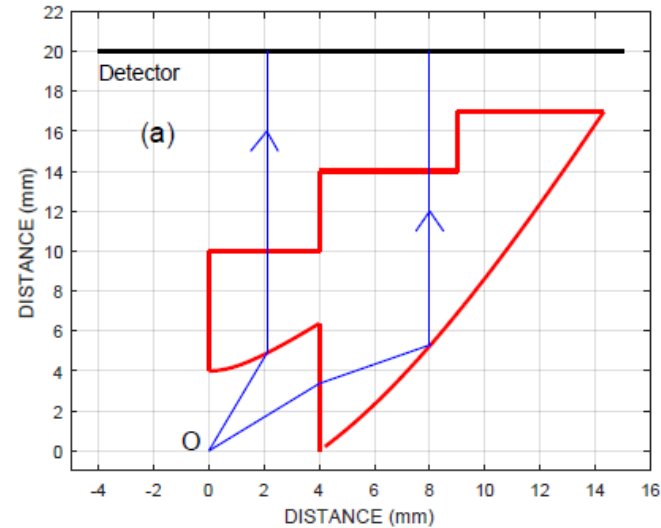
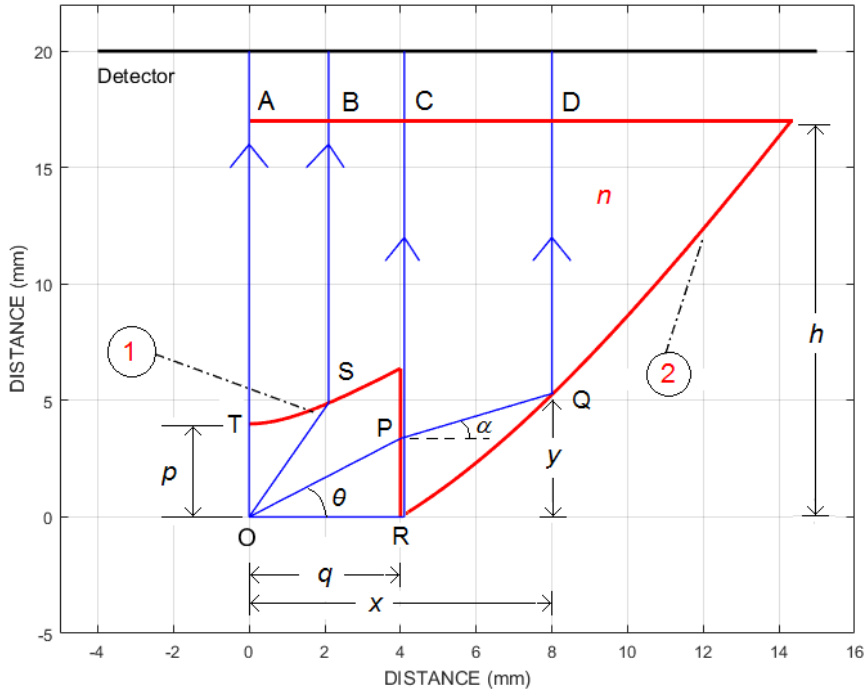
Object Type	Inside Of	X Position	Y Position	Z Position	Tilt About X	Tilt About Y	Tilt About
1 Source Point	0	0.000	0.000	0.000	0.000	0.000	0.000



Example 4: How to use a specific Lens with Zemax

In this example, you will see adding a specific lens designed first in **Matlab**. Solid model of the lens is then produced via **SolidWorks** program. **Osram SFH 4718A IR LED** used to test.

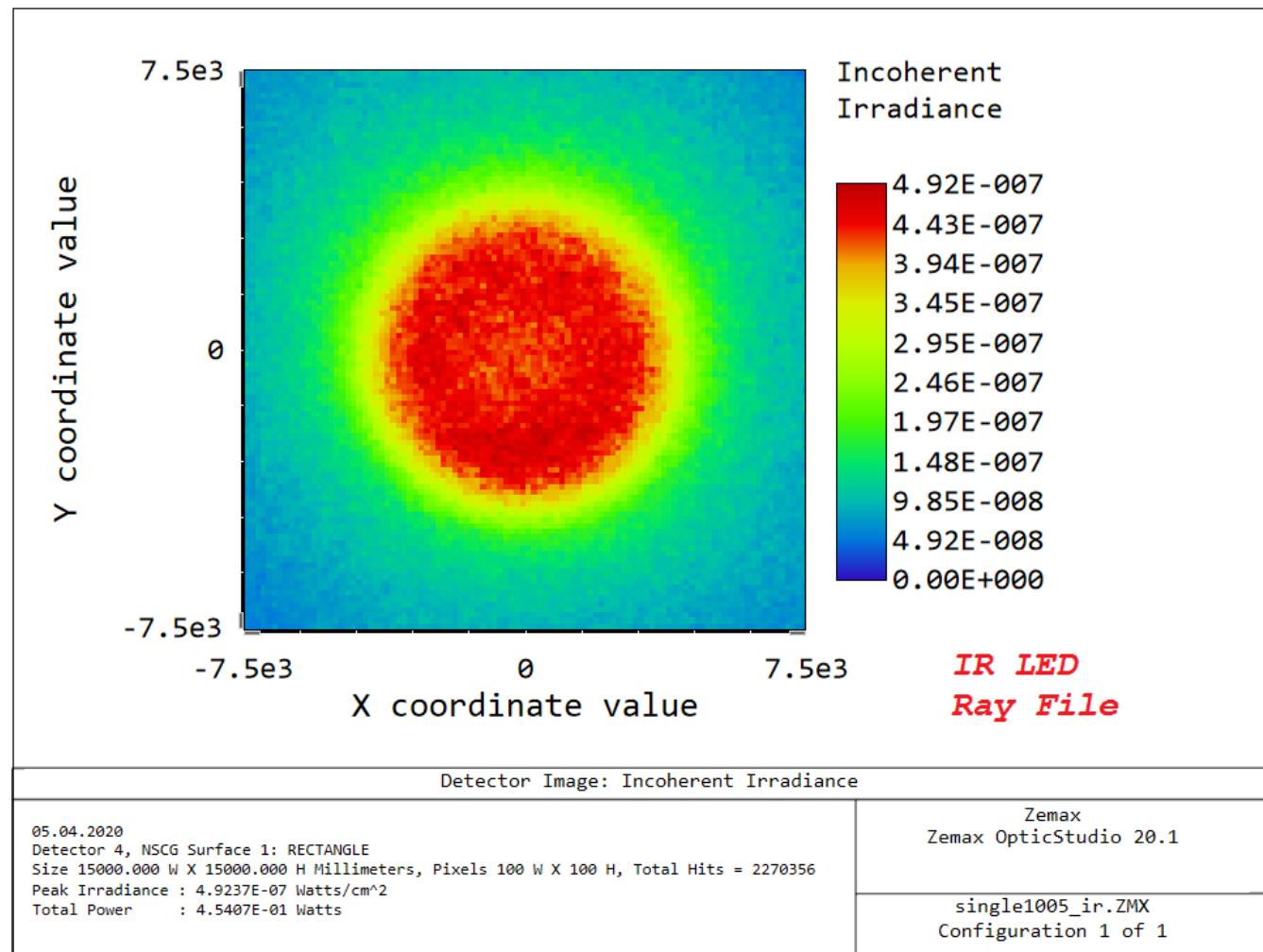
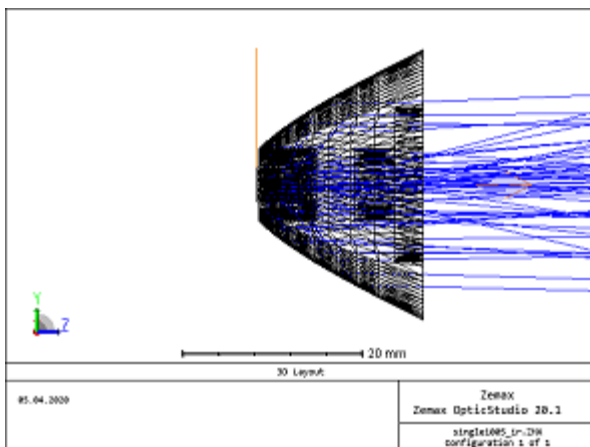
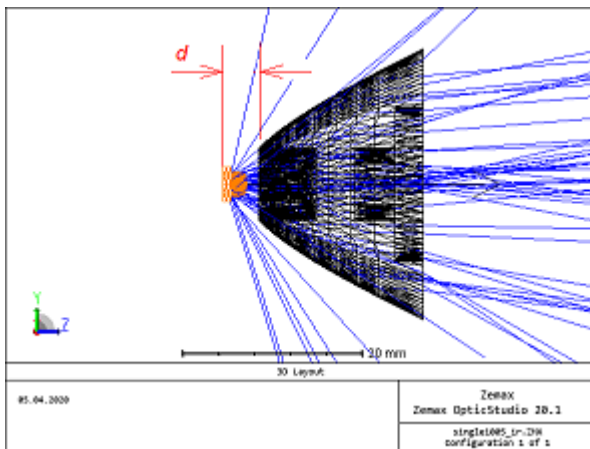
This was a TUBITAK 1005 Project (118M568)



You can download **pmmaLEDcollimator-small.stp** the file from the course web page.

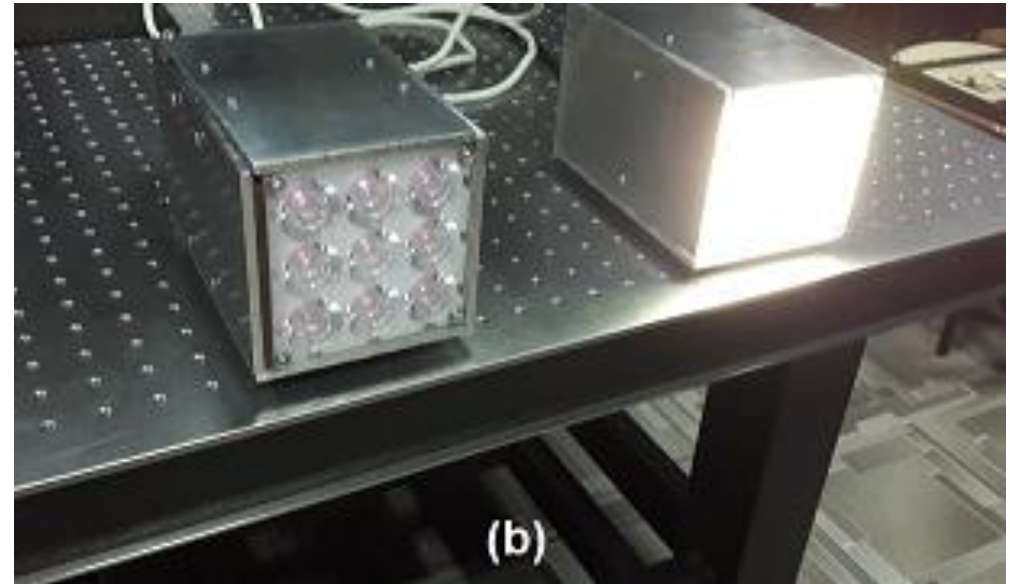
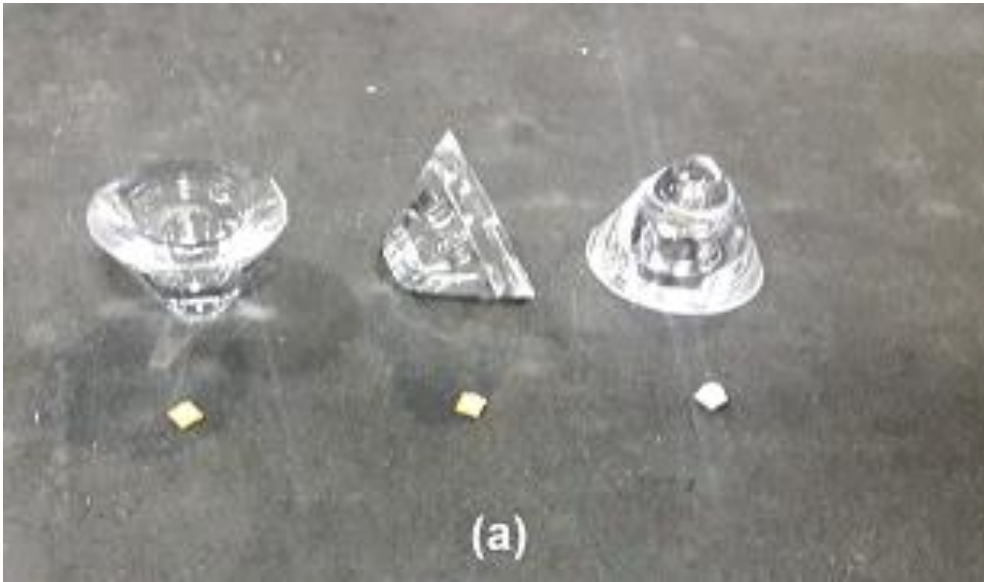
The lens file must be placed under:

C:\<ZEMAX>\Objects\CAD Files

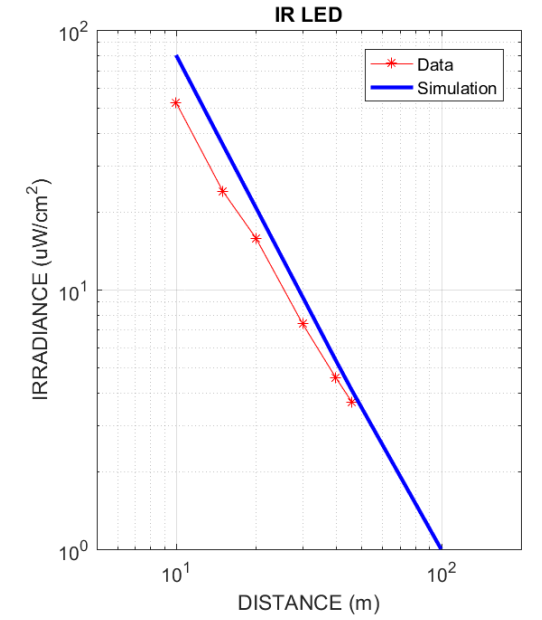
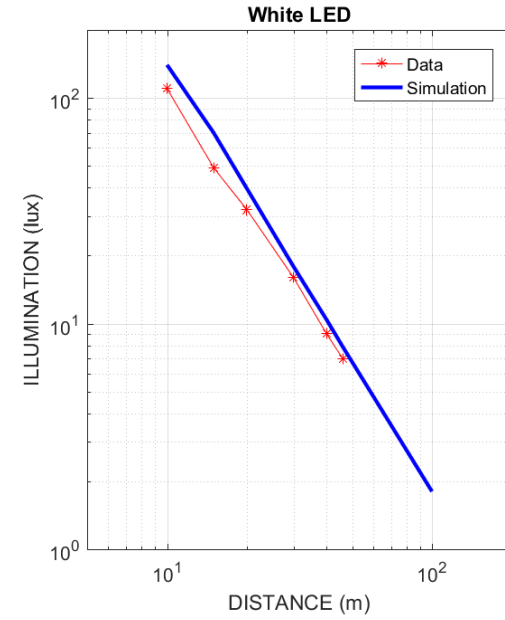
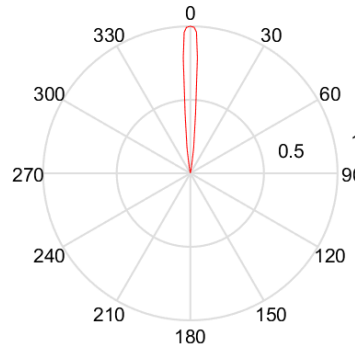
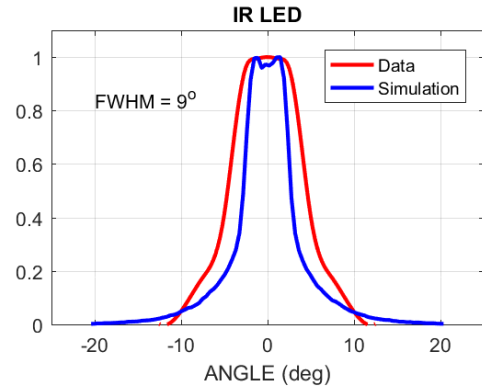
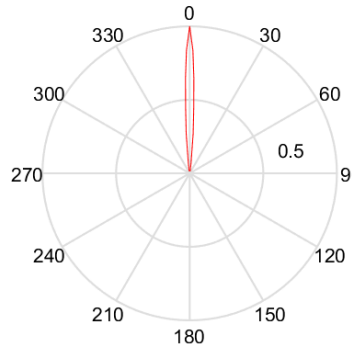
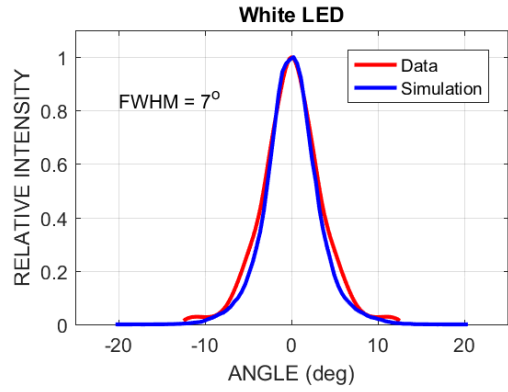


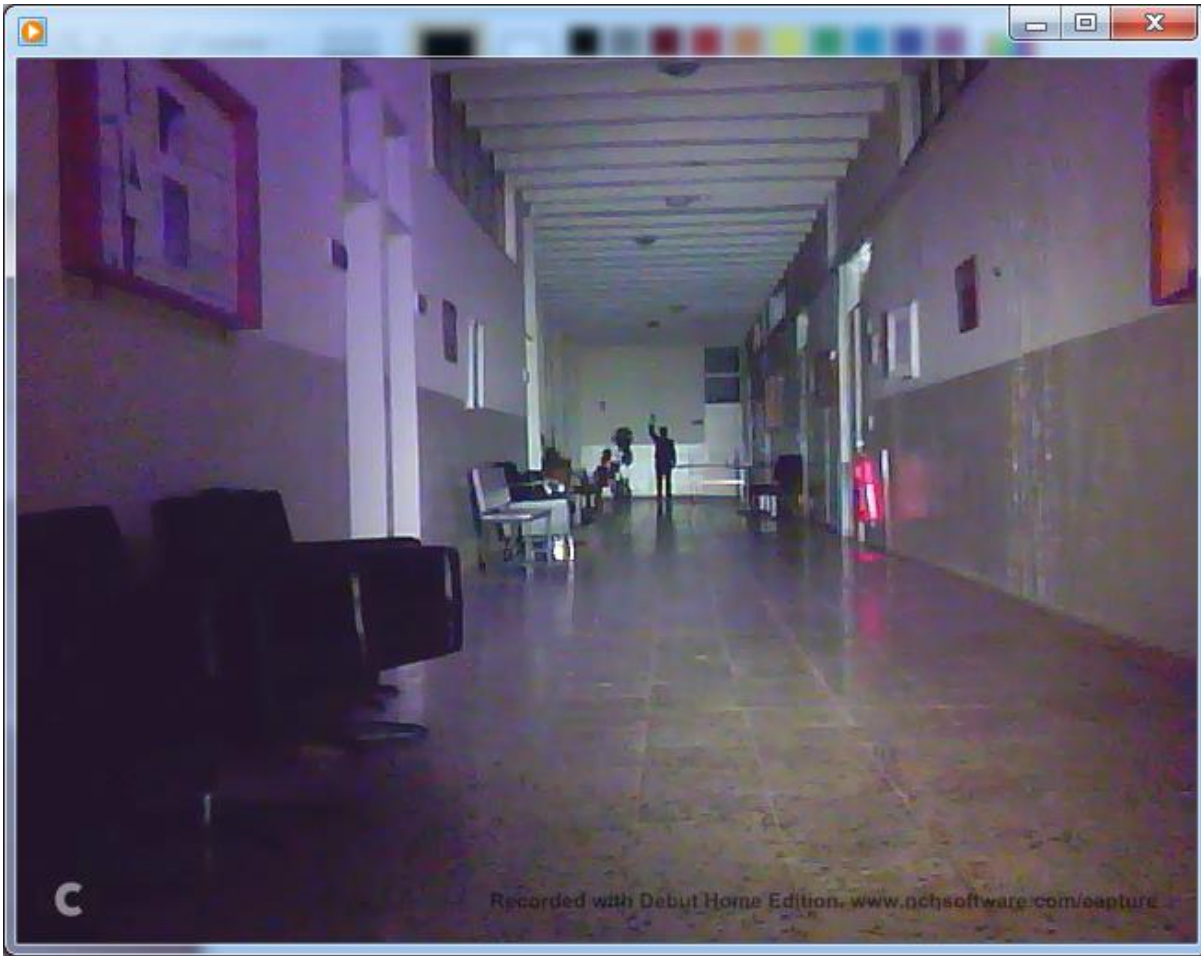
Manufacturing the lens

A prototype of a solid free-form lens is manufactured by using PMMA via plastic injection molding method.



Optical Performance





*50 m uzunluğundaki karanlık bir koridorun aydınlatılması.
Solda görünür bölge ve sağda sadece kızılötesi aydınlatma yapılmıştır.*

Ayrıca bkz: <http://www1.gantep.edu.tr/~bingul/irwalk.gif>

Example 5: Defining Diode Laser Source

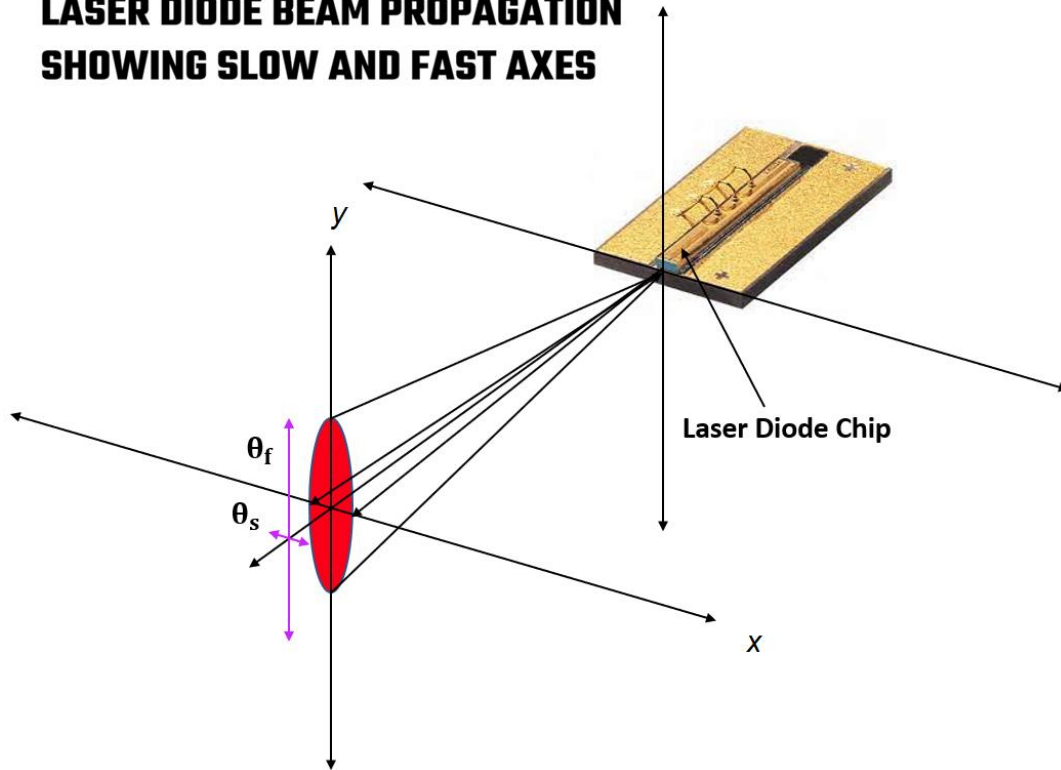
Consider a diode laser* given right:

This is the product

OPD000082 FL-COC11-10-808

laser from focuslight

LASER DIODE BEAM PROPAGATION SHOWING SLOW AND FAST AXES



Optical Data ²	Unit	Value
Centroid Wavelength	nm	808
Wavelength Tolerance	nm	± 3
Emitter Width	μm	200
Output Power ³	W	10
Spectral Width FWHM	nm	≤ 3
Spectral Width 90% Energy	nm	≤ 5
Fast Axis Divergence (FWHM)	$^\circ$	~ 30
Slow Axis Divergence (FWHM)	$^\circ$	8
Polarization Mode	-	TE
Wavelength Temp. Coefficient	nm / $^\circ\text{C}$	~ 0.28

Electrical Data ²		
Operation Current	A	≤ 11.8
Threshold Current	A	≤ 1.8
Operating Voltage	V	≤ 2.2
Slope Efficiency	W / A	≥ 1
Power Conversion Efficiency	%	≥ 44

Thermal Data		
Operating Temperature	$^\circ\text{C}$	15 ~ 30
Storage Temperature ⁴	$^\circ\text{C}$	-40 ~ 55
Recommended Heatsink Capacity	W	≥ 20

A laser diode source can be defined in zemax via **Source Diode**.

For this laser:

Wavelength = 808 nm

X-divergence = $8 * 0.849 = 6.792^\circ$ (Slow Axis)

Y-divergence = $30 * 0.849 = 25.47^\circ$ (Fast Axis)

X-SuperGauss = Y-SuperGauss = 1

X-width = $200/2 = 100 \mu\text{m} = 0.1 \text{ mm}$

Y-width = $2/2 = 1 \mu\text{m} = 0.001 \text{ mm}$

X-sigma = 1 mm

Y-sigma = 1 mm

X-sigma Hx = X-sigma Hy = 1

Detector Rectangle:

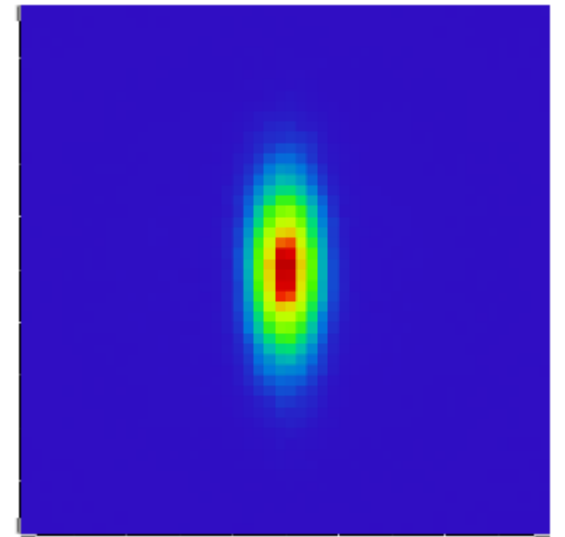
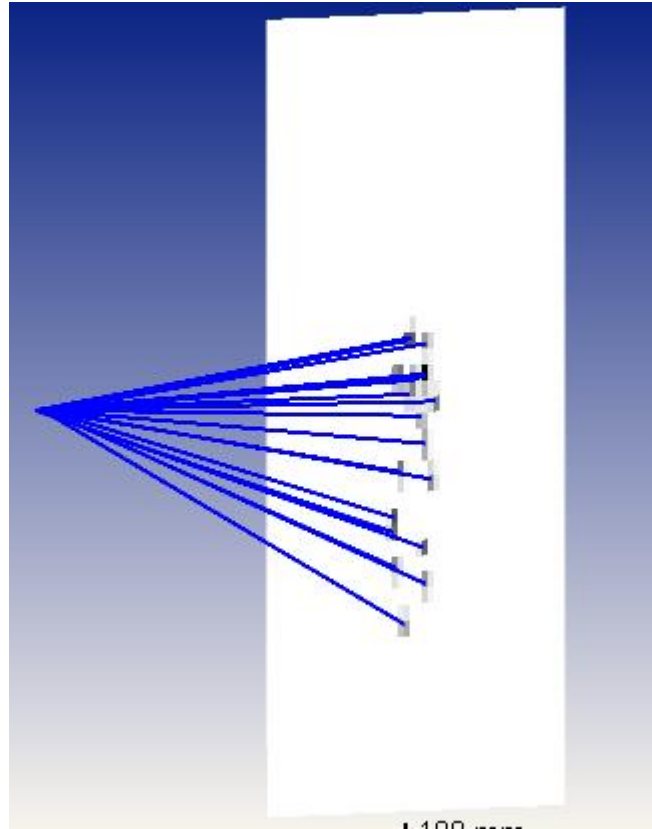
Z-position = 100 mm

Optical Data ²	Unit	Value
Centroid Wavelength	nm	808
Wavelength Tolerance	nm	± 3
Emitter Width	μm	200
Output Power ³	W	10
Spectral Width FWHM	nm	≤ 3
Spectral Width 90% Energy	nm	≤ 5
Fast Axis Divergence (FWHM)	$^\circ$	~ 30
Slow Axis Divergence (FWHM)	$^\circ$	8
Polarization Mode	-	TE
Wavelength Temp. Coefficient	nm / $^\circ\text{C}$	~ 0.28

Electrical Data ²		
Operation Current	A	≤ 11.8
Threshold Current	A	≤ 1.8
Operating Voltage	V	≤ 2.2
Slope Efficiency	W / A	≥ 1
Power Conversion Efficiency	%	≥ 44

Thermal Data		
Operating Temperature	$^\circ\text{C}$	15 ~ 30
Storage Temperature ⁴	$^\circ\text{C}$	-40 ~ 55
Recommended Heatsink Capacity	W	≥ 20

I will mention about FAC lenses in Lens Catalog for vendor from LIMO.



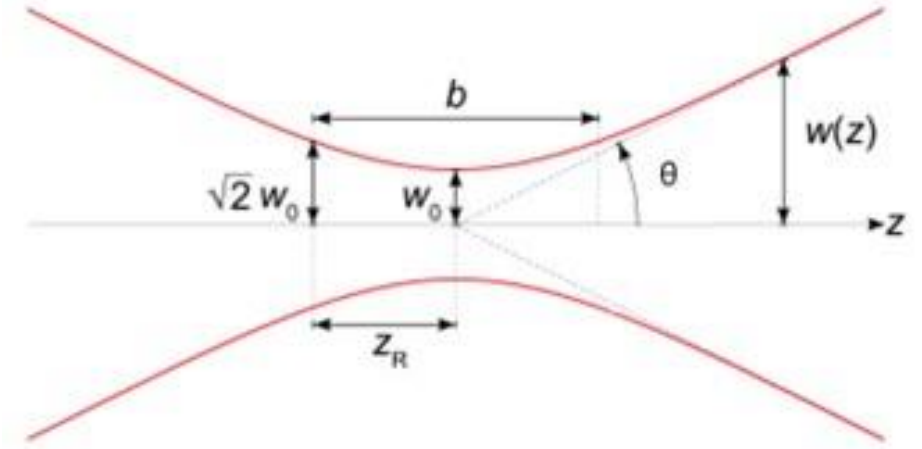
Example 6: FAC Design and Fiber Coupling

See course web page

Example 7: Laser Modelling

Consider an ideal Gaussian beam with waist w_0 . This Gaussian beam can be described using any two of the three parameters:

- wavelength λ
- beam waist w_0
- divergence angle θ



The beam size is a function of the distance from the waist. Zemax OpticStudio uses the half width:

$$w(z) = w_0 \left[1 + \left(\frac{z}{z_R} \right)^2 \right]^{\frac{1}{2}} \quad (1)$$

For large distances the beam size expands linearly. The divergence angle θ of the beam is given by

$$\theta = \frac{\lambda}{\pi w_0} \quad \text{for } z \gg z_R \quad (2)$$

$$z_R = \frac{\pi w_0^2}{\lambda}$$

Beam Parameter Product: $BPP = w_0 \theta$

For efficient fiber coupling $BPP_{Total} < \frac{D_{fiber}}{2} * NA_{fiber}$

Let

$$\theta_F = \theta_x = 29 \text{ deg} = 506.145 \text{ mrad} \quad w_{0x} = 0.5 \mu\text{m}$$

$$\theta_S = \theta_y = 9 \text{ deg} = 157.079 \text{ mrad} \quad w_{0y} = 95 \mu\text{m}$$

$$NA_{fiber} = 0.2 \text{ and } D_{fiber} = 150 \mu\text{m}$$

Then

$$BBP_F = 0.253 \text{ mm.mrad}$$

$$BBP_S = 14.92 \text{ mm.mrad}$$

$$BBP_{Total} = (BBP_F^2 + BBP_S^2)^{1/2} = 14.92 \text{ mm.mrad}$$

$$\frac{D_{fiber}}{2} * NA_{fiber} = 15 \text{ mm.mrad}$$

Example 7: Hybrid Systems

See course web page

Example 8: Projection Lens Design



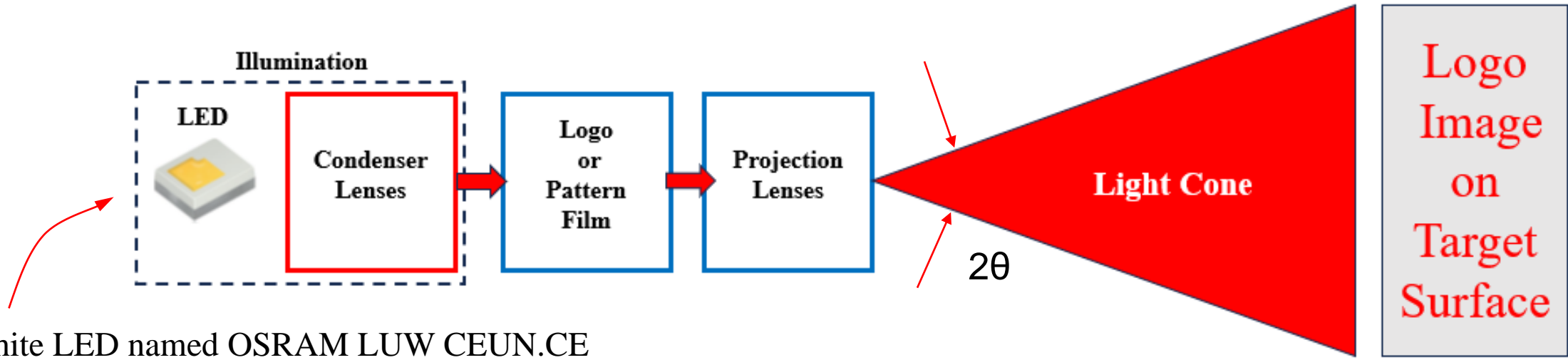
(Studený, 2019)



(Studený, 2019)



(Bremer, Lewerich, Hendricks ve Neumann, 2019)



white LED named OSRAM LUW CEUN.CE

$$\theta = \tan^{-1} \left(\frac{\text{radius of logo}}{\text{distance between LPL to target surface}} \right)$$

Download documents from web page:
<http://www1.gantep.edu.tr/~bingul/zemax>