



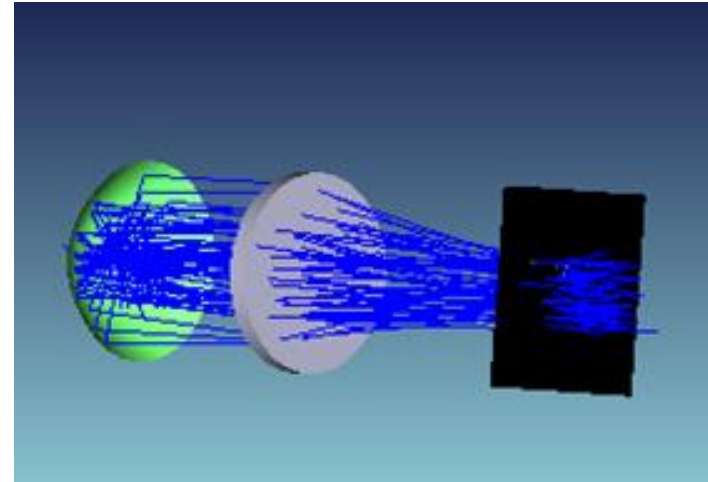
# Lectures Notes on Optical Design using Zemax OpticStudio

## Lecture 19

### *Non-Sequential Mode in Zemax 1*

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# Content

- 1. Introduction**
- 2. Sequential/Non-Sequential Modes**
- 3. Some NSC Applications**

# Introduction

There are 2 distinct ray-tracing modes in Zemax (OpticStudio)

- Sequential
- Non-sequential

In addition, a **hybrid mode** exists in which sequential and non-sequential ray-trace are used in the same system.

*In this lecture, we will see some basic applications of Non-sequential ray tracing in Zemax.*

# Sequential Mode

- It is mainly used for designing imaging and afocal systems.
- Surfaces are defined in the Lens Data Editor.
- Ray can only intersect each surface once and has to do it in a specified -sequential- order (i.e. surface #0 then #1 ,#2 ...) and hence the name sequential ray tracing.
- Ray can only reflect if the surface material type is MIRROR. *Partial reflections from refractive surfaces (Fresnel reflections) are accounted for to the extent of calculating the correct refracted energy, including the effects on dielectric or metallic mirrors.*
- Each surface has its own local coordinate system. The position of each surface along the optical axis is referenced to the previous surface. In other words, the “Thickness” column in the Lens Data Editor refers to the distance from current surface and not from a global reference point.

# Non-sequential Mode

- It is primarily used for non-imaging applications such as illumination systems and/or stray-light analysis.
- Surfaces or volume objects are defined in the Non-Sequential Component Editor
- Mechanical components may be easily imported from CAD programs, so that full Opto-Mechanical analysis may be undertaken.
- A ray can intersect the same object more than once and can intersect multiple objects in any order; hence the name non-sequential.
- Each object is referenced to a global coordinate, unless specified otherwise.
- Imaging-system properties such as stop location, entrance and exit pupil, field, system aperture etc. that exist in sequential systems may not be meaningful in non-sequential systems.
- The main analysis feature in non-sequential mode is the detector ray-trace, which gives spatial and angular data on incoherent or coherent rays.



*Stray ray example*

# Example 1: How to add standart lens

Object Type	Comment	Ref Object	Inside Of	X Position	Y Position	Z Position	Tilt About X	Tilt About Y	Tilt About Z	Material	X Half Width	Y Half Width	# X Pixels	# Y Pixels	Data Type	Color
1 Source Ellipse ▾		0	0	0.000	0.000	0.000	0.000	0.000	0.000	-	20	1E+05	1.000	0	0	12.000
2 Standard Lens ▾		0	0	0.000	0.000	20.000	0.000	0.000	0.000	BK7	100.000	0.000	20.000	20.000	6.000	-80.000
3 Detector Rectangle ▾		0	0	0.000	0.000	120.000 V	0.000	0.000	0.000		20.000	20.000	100	100	0	3

## \*\*\* Object1

Source Ellipse

# of Layout Rays 20

# of Analysis Rays 1e5

X Half Width 12

Y Half Width 12

## \*\*\* Object2

Standart Lens

Z position 20

Material BK7

Radius1 100

Thickness 6

Clear1 = Edge1 20

Radius2 -80

Clear2 = Edge2 20

## \*\*\* Object3

Detector Rect

Z position 120

Material Blank (or can be ABSORB or MIRROR)

X Half Width 20

Y Half Width 20

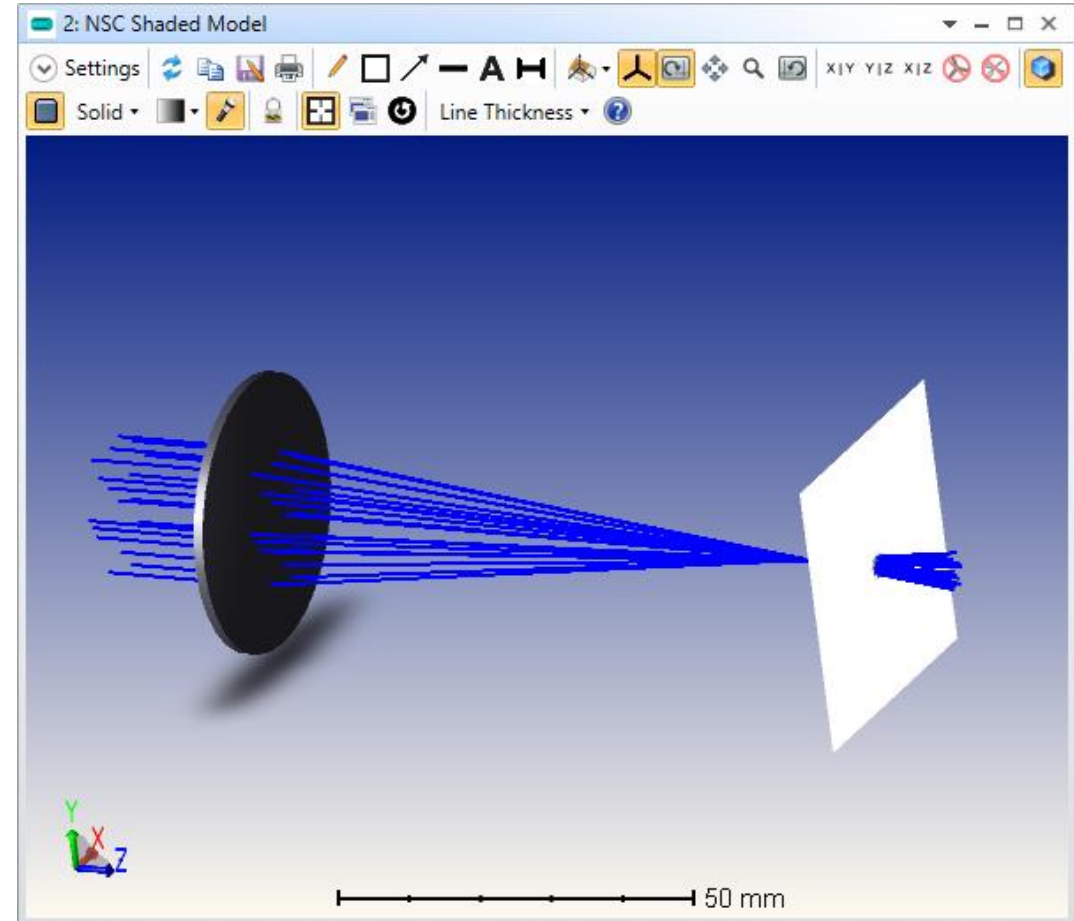
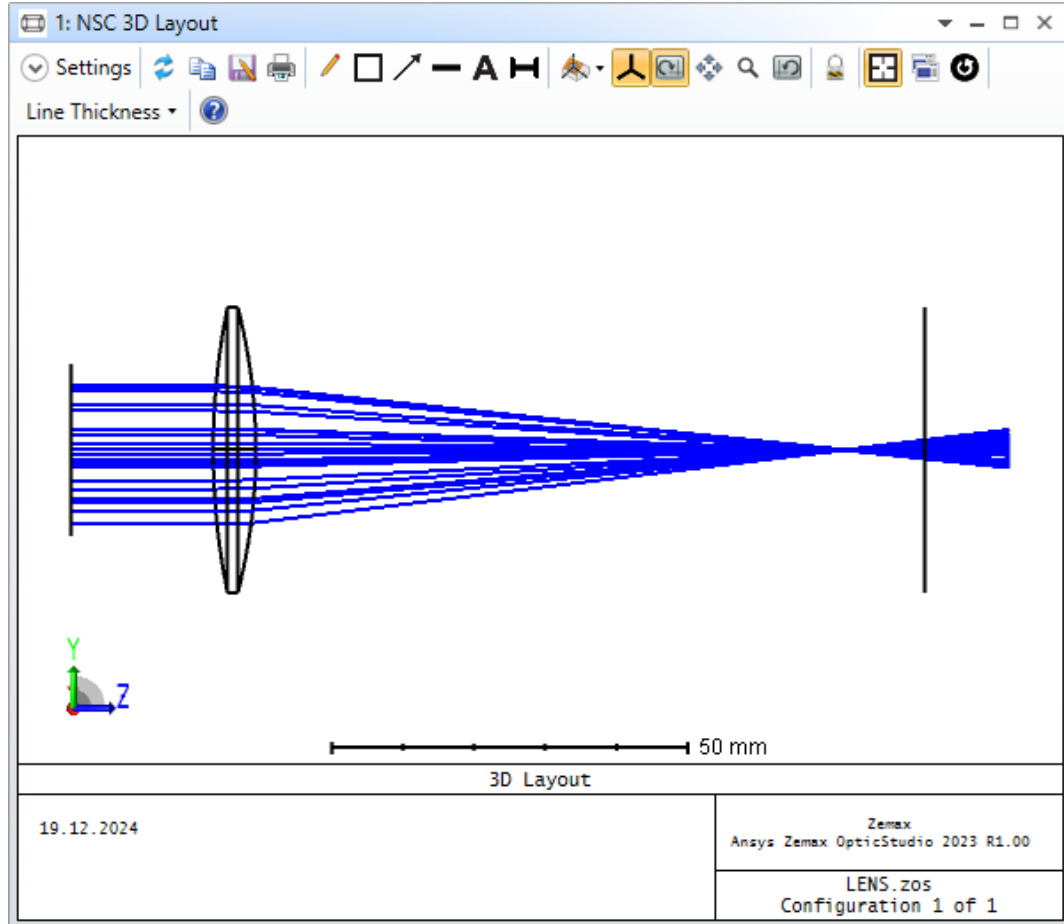
# X Pixels 200

# Y Pixels 200

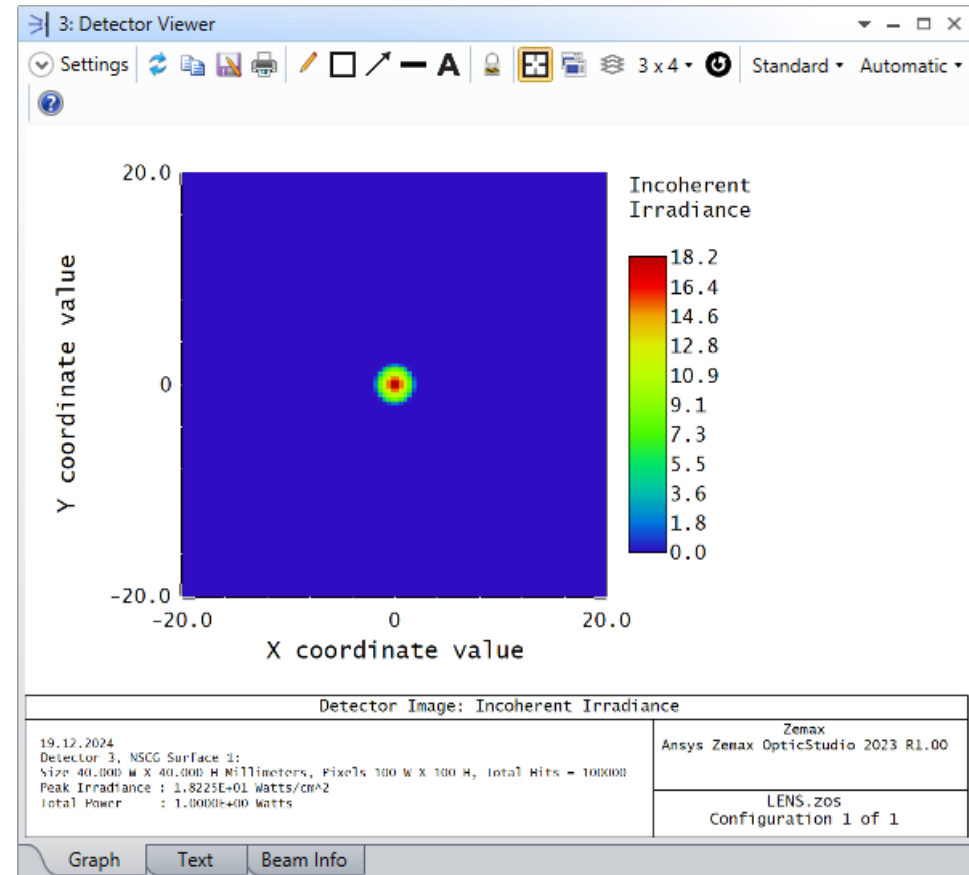
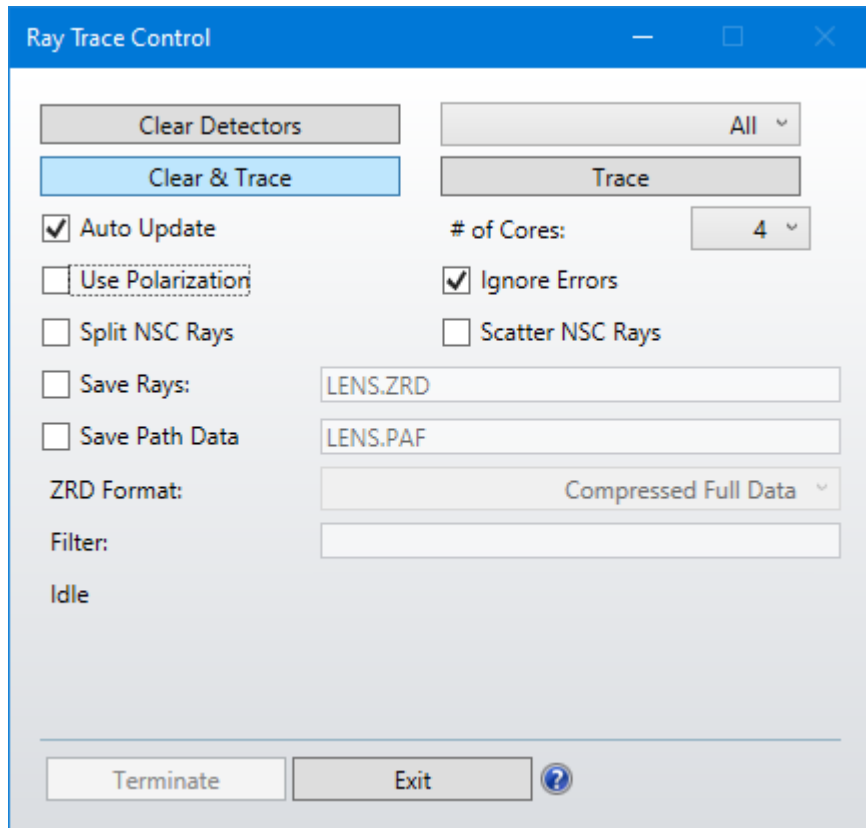
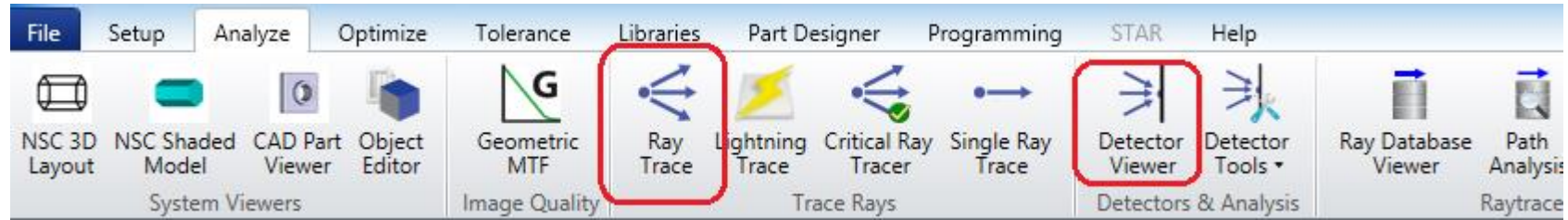
Color 3 (detector displays false color)

# Example 1: Layout

Object Type	Comment	Ref Object	Inside Of	X Position	Y Position	Z Position	Tilt About X	Tilt About Y	Tilt About Z	Material	X Half Width	Y Half Width	# X Pixels	# Y Pixels	Data Type	Color
1 Source Ellipse ▾		0	0	0.000	0.000	0.000	0.000	0.000	0.000	-	20	1E+05	1.000	0	0	12.000
2 Standard Lens ▾		0	0	0.000	0.000	20.000	0.000	0.000	0.000	BK7	100.000	0.000	20.000	20.000	6.000	-80.000
3 Detector Rectangle ▾		0	0	0.000	0.000	120.000 V	0.000	0.000	0.000		20.000	20.000	100	100	0	3



# Example 1: Ray Tracing





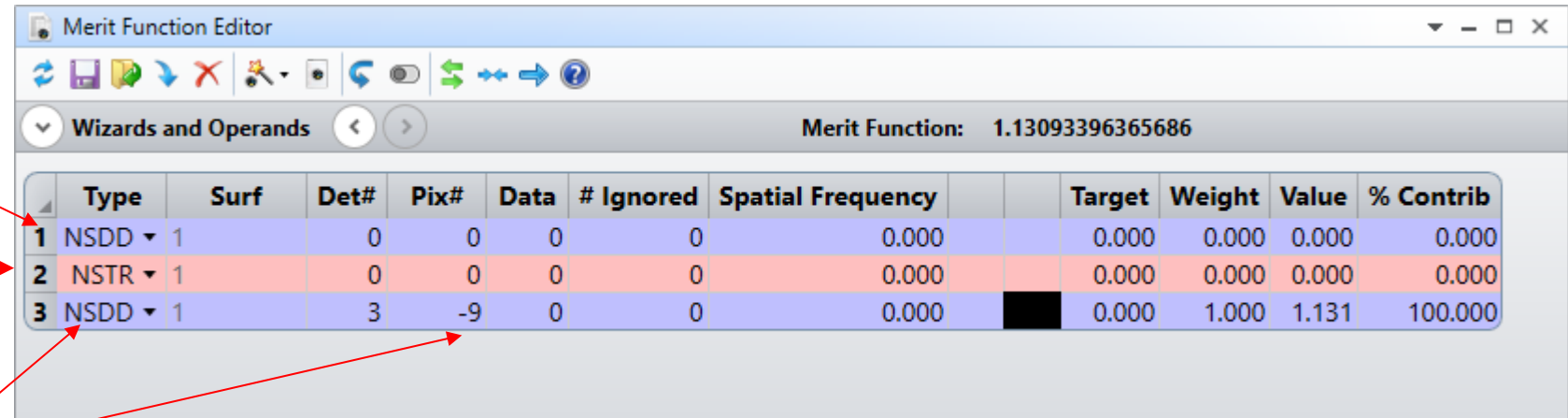
# Example 1: Optimization

The aim is to put detector at a location where we have minimum rms spot size

Clear detector

Start ray tracing

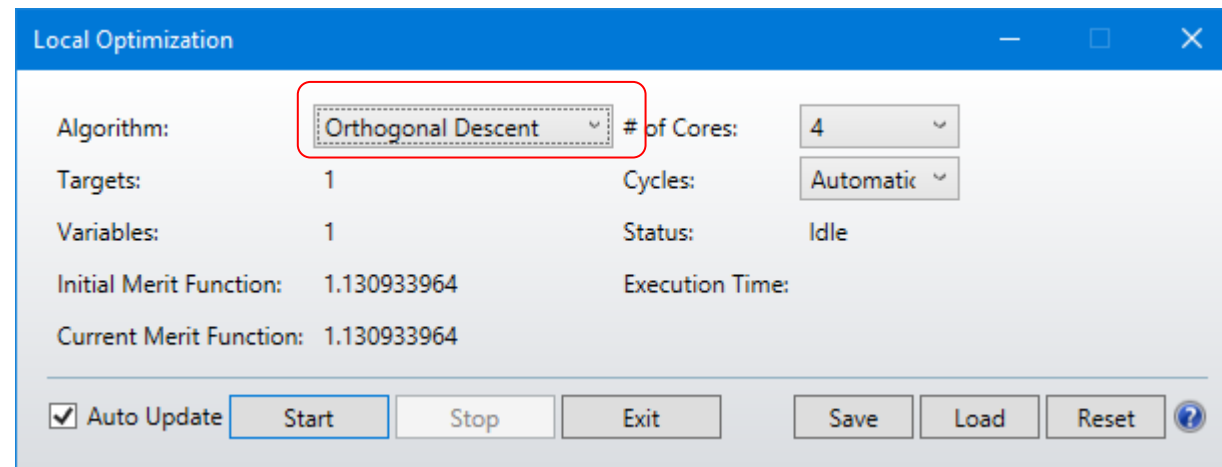
Obtain minimum spot size



Merit Function Editor

Wizards and Operands Merit Function: 1.13093396365686

	Type	Surf	Det#	Pix#	Data	# Ignored	Spatial Frequency	Target	Weight	Value	% Contrib
1	NSDD	1	0	0	0	0	0.000	0.000	0.000	0.000	0.000
2	NSTR	1	0	0	0	0	0.000	0.000	0.000	0.000	0.000
3	NSDD	1	3	-9	0	0	0.000		1.000	1.131	100.000



Local Optimization

Algorithm: **Orthogonal Descent** # of Cores: 4

Targets: 1 Cycles: Automatic

Variables: 1 Status: Idle

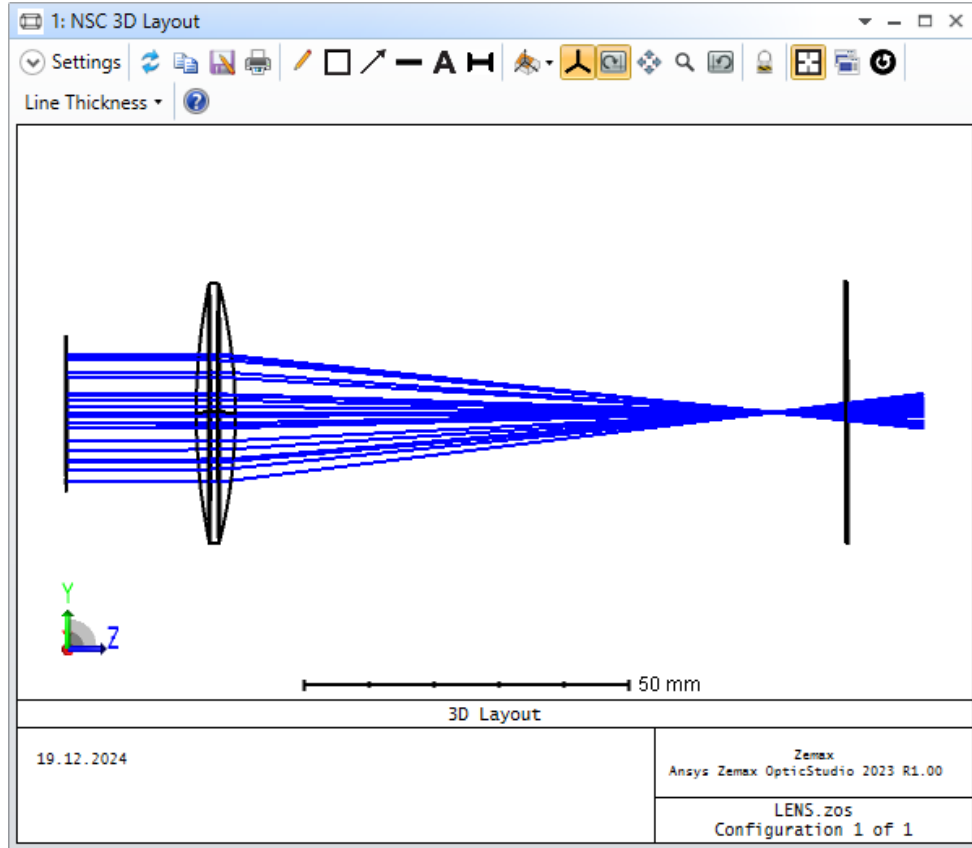
Initial Merit Function: 1.130933964 Execution Time:

Current Merit Function: 1.130933964

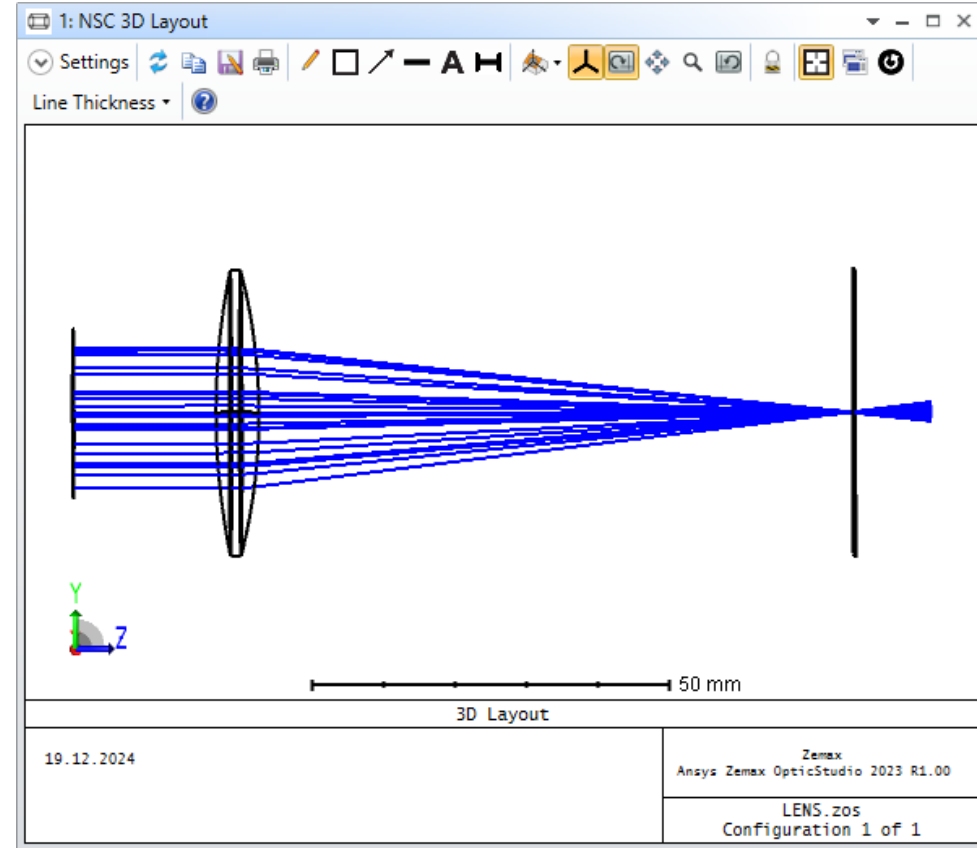
Auto Update Start Stop Exit Save Load Reset

# Example 1: Results

Z Position of detector = 120 mm  
Before optimization



Z Position of detector = 108.7 mm  
After optimization

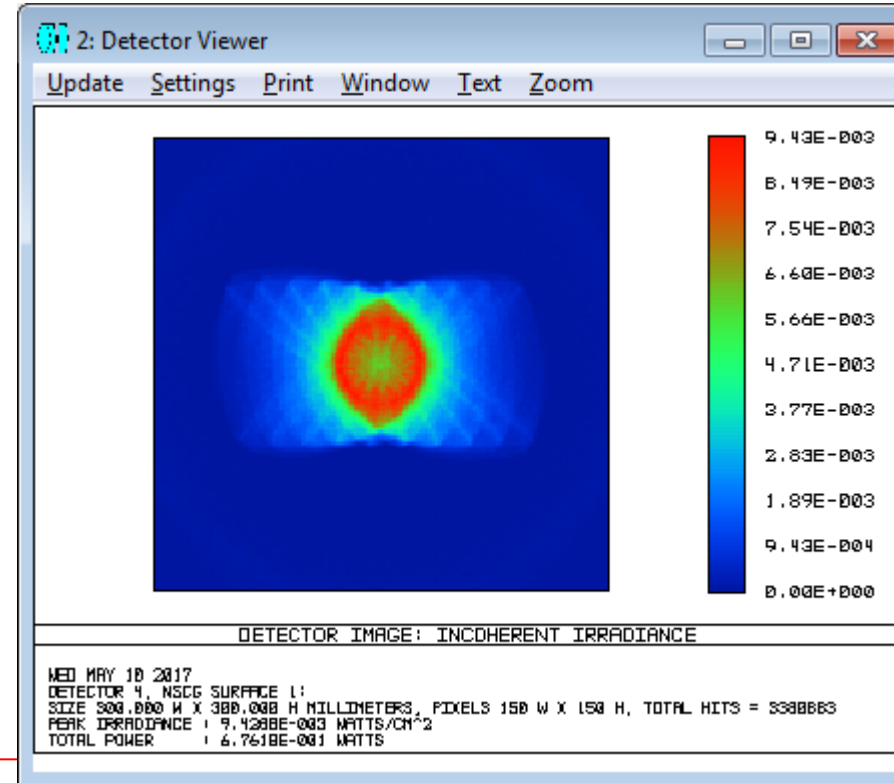
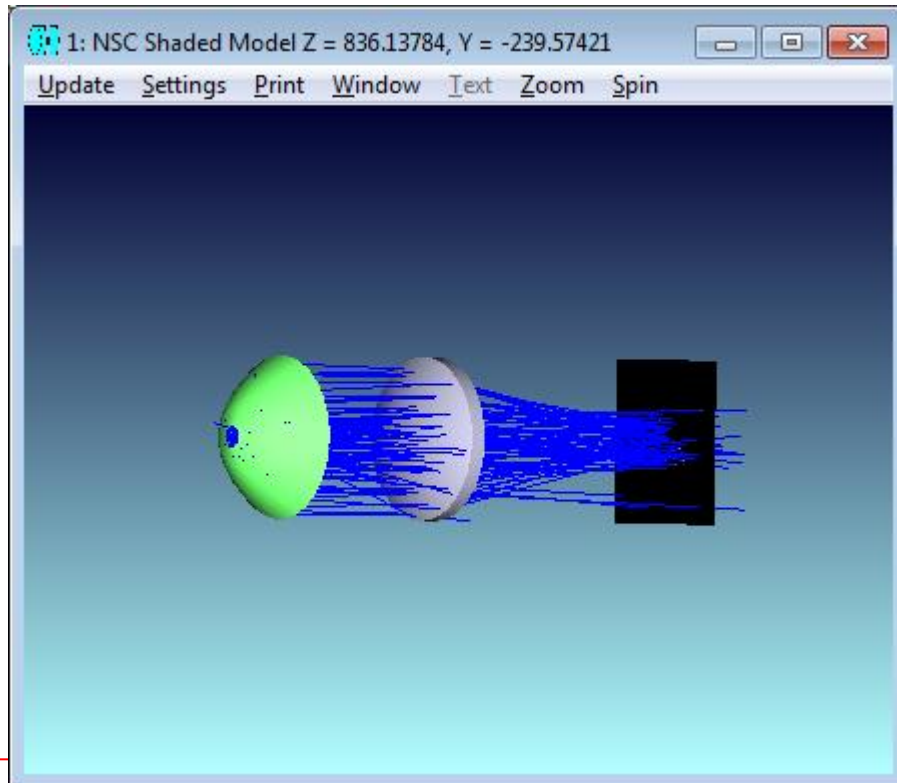


## Example 2: Mirror-Lens-Detector

We will make a non-sequential system with

- a filament source
- a parabolic reflector
- a plano-convex lens
- a rectangular detector

as shown in the layout below:



### \*\*\* Object1

Standart Surface

Material	Mirror
Radius	100
Conic	-1 (parabola)
Max Aper	150
Min Aper	20 (center hole in the reflector)

### \*\*\* Object2

Source Filament

Z position	50 (focus of the parabolic reflector)
# Layout Rays	20
# Analysis Rays	5e6
Length	20
Radius	5
Turns	10
Tilt about Y	90 (deg)
X position	-10 (mm)

### \*\*\* Object4

#### Standard Lens

Ref Object 3 (before detector)

Z Position 200

Material N-BK7

Radius 1 300

Clear 1 150

Edge 1 150

Thickness 70

Clear 2 150

Edge 2 150

### \*\*\* Object5

Detector Rect

Z position 1000

Material Blank (or can be ABSORB or MIRROR)

X Half Width 150

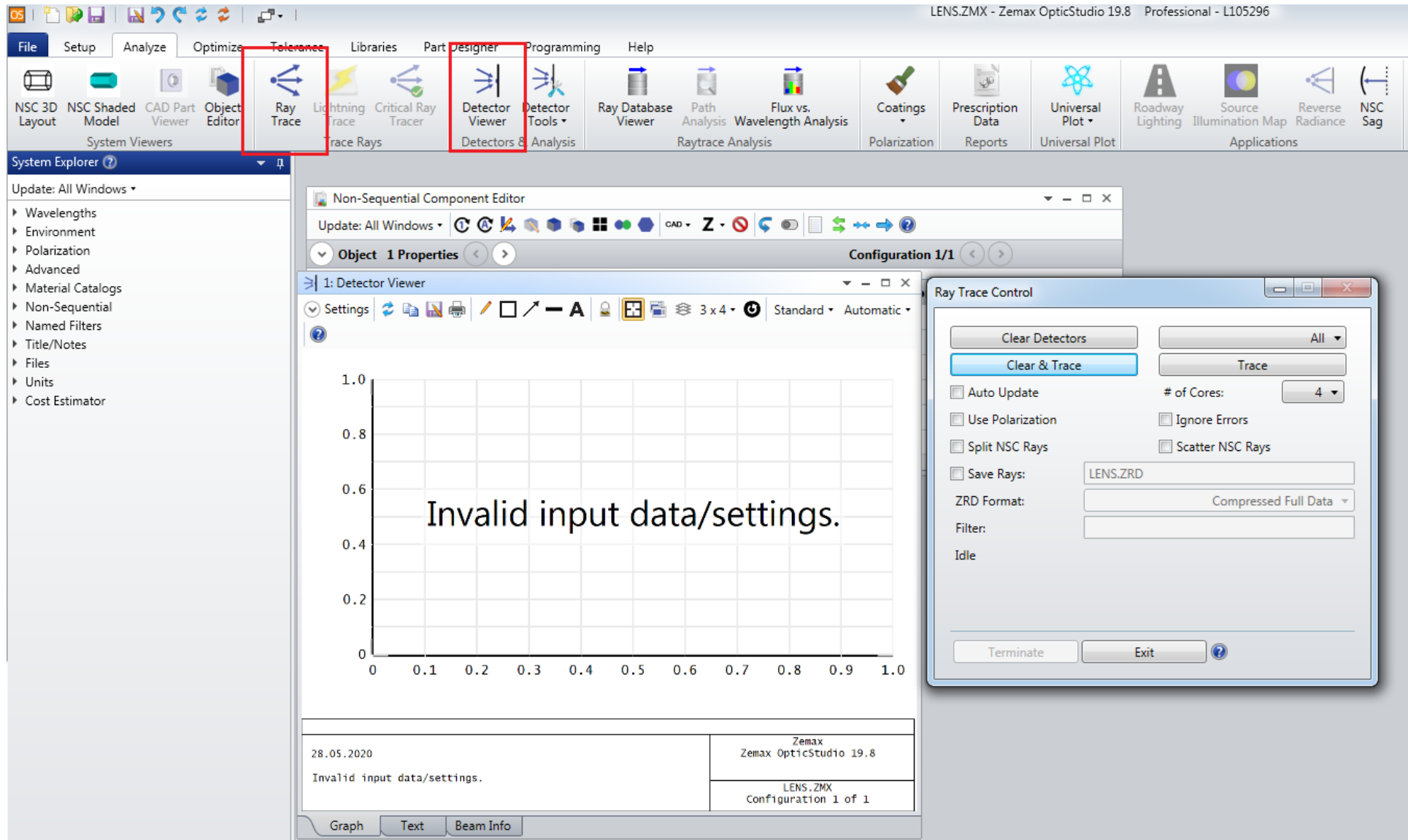
Y Half Width 150

# X Pixels 150

# Y Pixels 150

Color 1 (detector displays inverse greyscale)

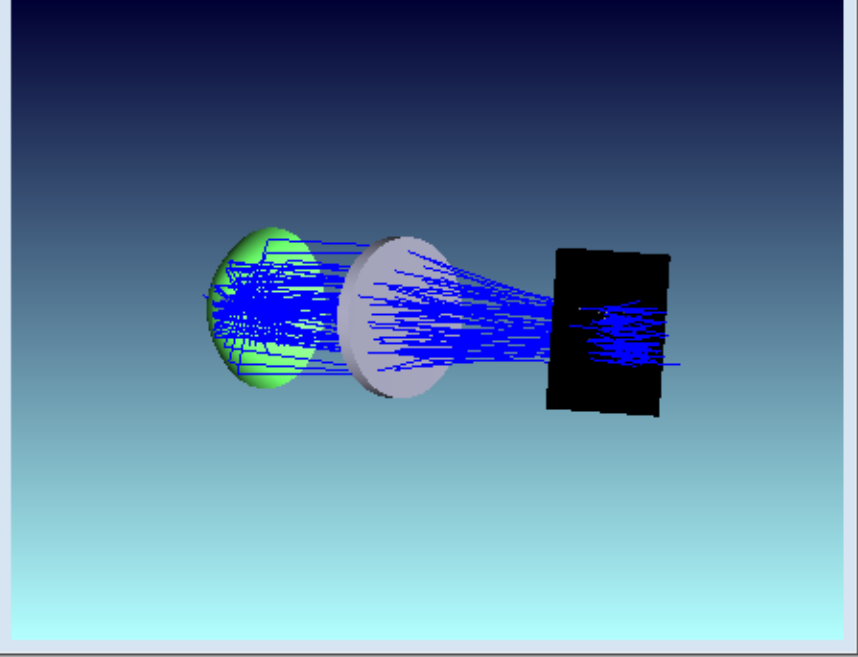
In the analysis you should use **Detector Viewer** and **Ray Trace** buttons.



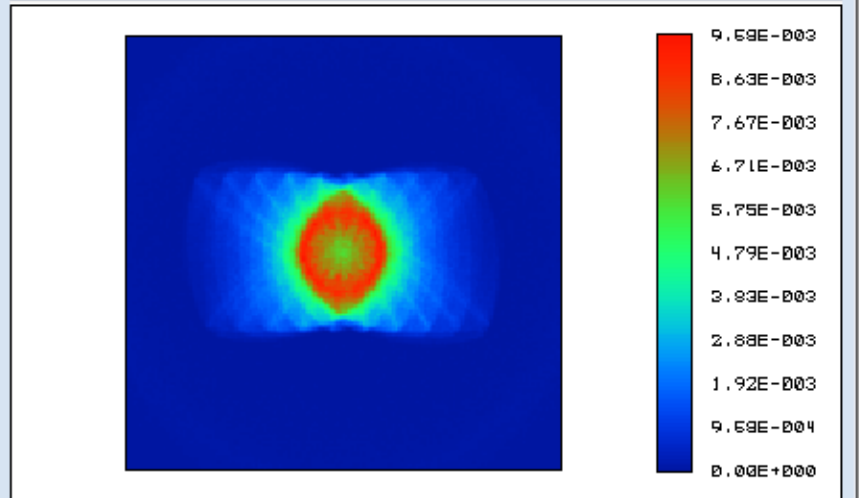
Non-Sequential Component Editor

Object Type	Tilt About Z	Material	X Half Width	Y Half Width	# X Pixels	# Y Pixels
1 Standard ..	0.000	MIRROR	100.000	-1.000	150.000	20.000
2 Source Fi..	0.000	-	100	5000000	1.000	0
3 Standard ..	0.000	N-BK7	300.000	0.000	150.000	150.000
4 Detector ..	0.000		150.000	150.000	150	150
5 Null Object	0.000	-				

1: NSC Shaded Model



2: Detector Viewer

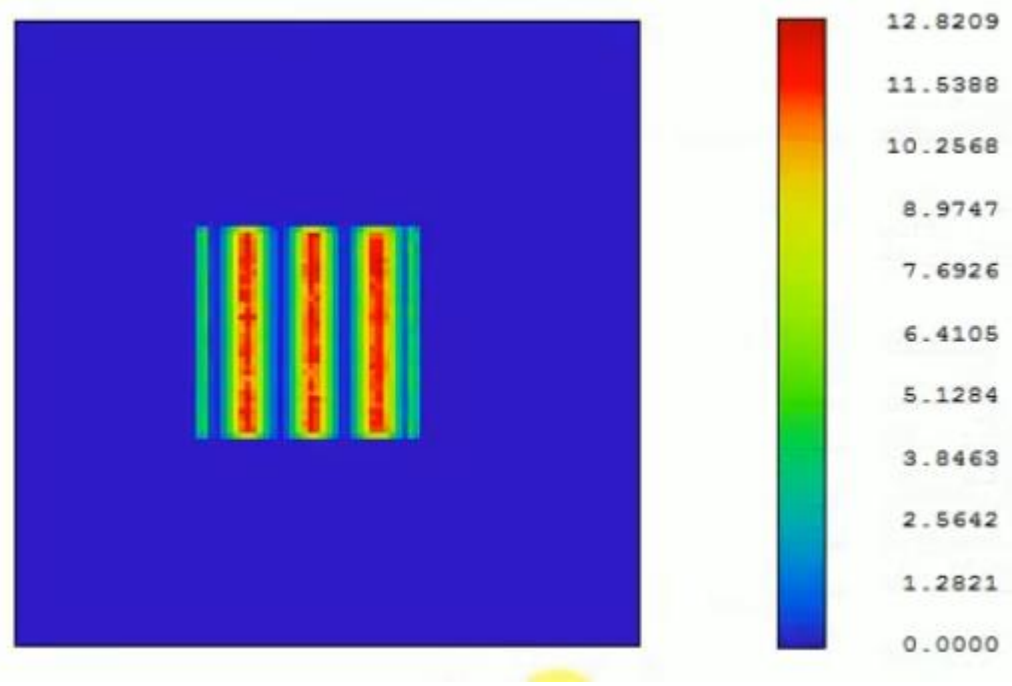
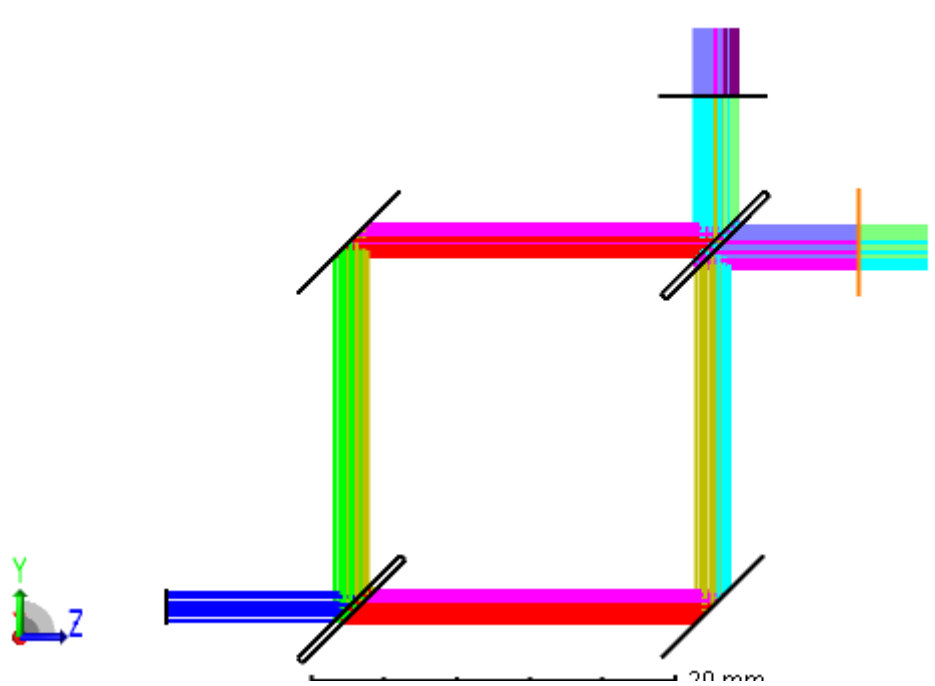


DETECTOR IMAGE: INCDHERENT IRRADIANCE

MED MAY 10 2017  
 DETECTOR 4, NSCG SURFACE 1:  
 SIZE 300.000 W X 300.000 H MILLIMETERS, PIXELS 150 W X 150 H, TOTAL HITS = 3379270  
 PEAK IRRADIANCE : 9.5942E-003 WATTS/CM^2  
 TOTAL POWER : 6.7586E-003 WATTS

# Example 3: Simple Interferometer

Object Type	Comment	Ref Object	Inside Of	X Position	Y Position	Z Position	Tilt About X	Tilt About Y	Tilt About Z	Material	X Half Width	Y Half Width
1 Source Rectangle		0	0	0.000	0.000	0.000	0.000	0.000	0.000	-	10	1E+05
2 Polygon Object	splitter.POB	0	0	0.000	0.000	10.000	45.000	0.000	0.000	BK7	4.000	1
3 Polygon Object	splitter.POB	0	0	0.000	20.000	30.000	45.000	0.000	0.000	BK7	4.000	1
4 Rectangle		0	0	0.000	20.000	10.000	45.000	0.000	0.000	MIRROR	4.000	4.000
5 Rectangle		0	0	0.000	0.000	30.000	45.000	0.000	0.000	MIRROR	4.000	4.000
6 Detector Rectangle		0	0	0.000	20.000	38.000	0.000	0.000	0.000		3.000	3.000
7 Detector Rectangle		0	0	0.000	28.000	30.000	90.000	0.000	0.000		3.000	3.000





# Example 4: A Point Source and a Prism

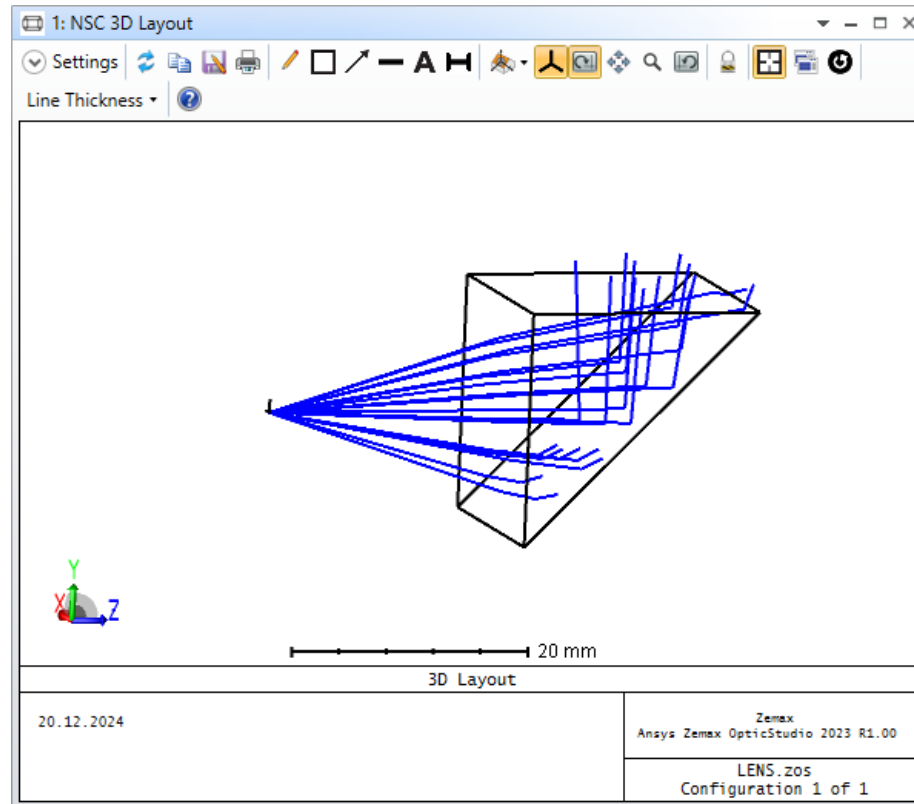
Non-Sequential Component Editor

Update: All Windows

Object 1 Properties

Configuration 1/1

Object Type	Comment	Ref Object	Inside Of	X Position	Y Position	Z Position	Tilt About X	Tilt About Y	Tilt About Z	Material	# Layout Rays	# Analysis Rays	Power(Watts)	Wavenumber	Color #	Cone Angle
1 Source Point		0	0	0.000	0.000	0.000	0.000	0.000	0.000	-	20	1E+05	1.000	0	0	20.000
2 Polygon Object	Prism45.POB	0	0	0.000	0.000	20.000	0.000	0.000	0.000	BK7	10.000	1				
3 Null Object		0	0	0.000	0.000	0.000	0.000	0.000	0.000	-						



# Array of point sources

Consider we have a point source with cone angle  $20^\circ$ .

Non-Sequential Component Editor

Update: All Windows

Object 1 Properties Configuration 1/1

Type  
Draw  
Sources  
Coat/Scatter  
Scatter To  
Volume Physics  
Index  
Diffraction  
CAD

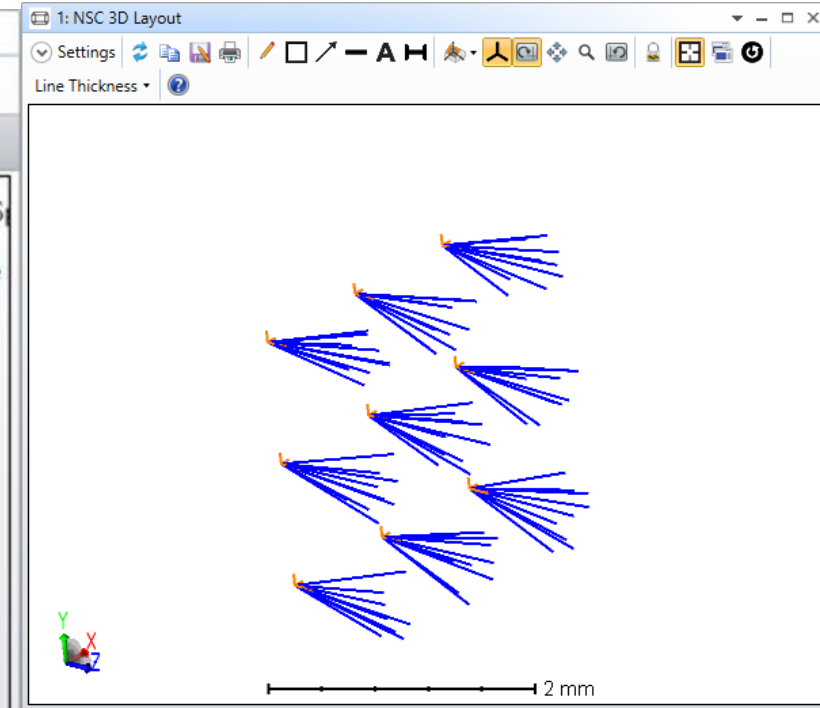
Polarization  
 Random Polarization  
Initial Phase (deg): 0  
Coherence Length: 0

Raytrace  
 Reverse Rays  
Pre-Propagation: 0  
Bulk Scatter: Many  
Sampling Method: Sobol

Array  
Array Type: Rectangular  
Number X: 3  
Number Y: 3  
Spacing X: 1  
Spacing Y: 1

Color/Source

Object Type	Inside Of	X Position	Y Position	Z Position	Tilt About X	Tilt About Y	Tilt About
1 Source Point	0	0.000	0.000	0.000	0.000	0.000	0.000



# Example 5: Gaussian Beam Source

Non-Sequential Component Editor

Update: All Windows

Object 2 Properties Configuration 1/1

Object Type	Comme	Ref Object	Inside Of	X Position	Y Position	Z Position	Tilt About X	Tilt About Y	Tilt About Z	Material	X Half Width	Y Half Width	# X Pixels	# Y Pixels
1 Source Gaussian		0	0	0.000	0.000	0.000	0.000	0.000	0.000	-	100	1E+05	1.000	0
2 Detector Rectangle		0	0	0.000	0.000	50.000	0.000	0.000	0.000		20.000	20.000	100	100

3: NSC Shaded Model

Settings

Solid

Line Thickness

50 mm

## Example 6: How to use LED

LED manufacturers (such as Osram Opto Semiconductors ) distribute comprehensive ray-tracing data files to be used in optical simulations such as

**eulumdat file,**  
**ray file** and  
**spectrum file.**

- In principle, LED is considered to be a point source in eulumdat file which is used for a quick analysis.
- whereas, the ray file represents actual spatial and angular distribution of rays originating from the outer surface of LED. Therefore, ray files can be used in more realistic simulations.
- The spectral distribution of LED (wavelengths emitted and corresponding weights) are stored in spectrum files.

Two types (White and IR) of LED provided by Osram Company will be presented.  
[If possible, show ray files and eulumdat files]

Examples:

- **LUW H9GP** a white LED having color temperature of 6500 K.
- **SFH 4718A** which is an IR LED whose peak irradiance is at 850 nm

After downloading LED's simulation files, you should copy and paste files to the related folders:

Geometry files (IGS or STEP) goes to:

**C: \<ZEMAX>\Objects\CAD Files**

Spectrum files goes to:

**C: \<ZEMAX>\Objects\Sources\Spectrum Files**

Ray files goes to

**C: \<ZEMAX>\Objects\Sources\Source Files**

# Then, add a **rectangular** or **polar** detector

ZEMAX-EE - 19052 - C:\Users\Ahmet Bingul\Desktop\ZEMAX\NonSequential\led.ZMX

File Editors System Analysis Tools Reports Macros Extensions Window Help

New Ope Sav Sas Upd Upa Gen Wav Chk L3n LSn Obv Dcl Dvr Rdb Gla ABg Pre

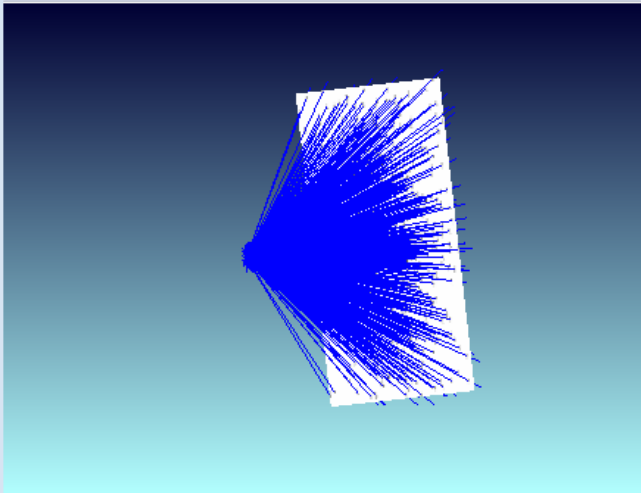
Non-Sequential Component Editor

Edit Solves Errors Detectors Database Tools View Help

Object Type	Z Position	Tilt About X	Tilt About Y	Tilt About Z	Material	X Half Width	Y Half Width	# X Pixels
1 Source File	0.000	0.000	0.000	0.000	-	1000	100000	1.000
2 Imported	0.000	0.000	0.000	0.000		1.000	1	5
3 Detector ..	500.000	0.000	0.000	0.000		500.000	500.000	100
4 Null Object	0.000	0.000	0.000	0.000	-			

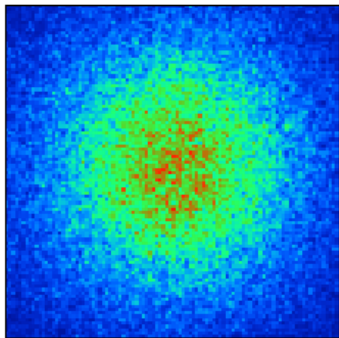
1: NSC Shaded Model

Update Settings Print Window Text Zoom Spin



2: Detector Viewer

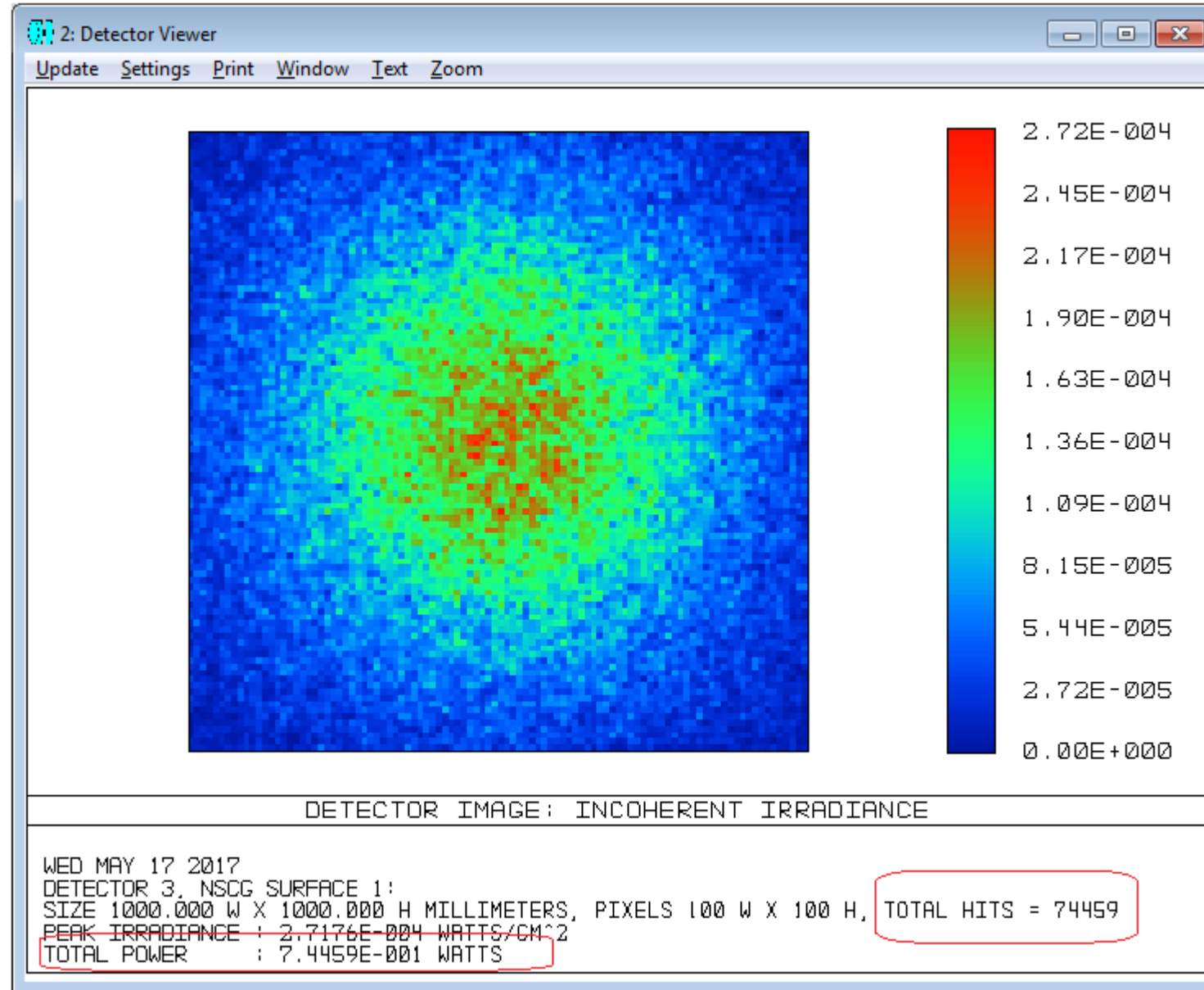
Update Settings Print Window Text Zoom



DETECTOR IMAGE: INCOHERENT IRRADIANCE

THU MAY 11 2017  
DETECTOR 3, NSCG SURFACE 1:  
SIZE 1000.000 W X 1000.000 H MILLIMETERS, PIXELS 100 W X 100 H, TOTAL HITS = 74459  
PEAK IRRADIANCE : 2.7176E-004 WATTS/CM^2  
TOTAL POWER : 7.4459E-001 WATTS

# The detector





# Example 7: Simple LED Collimator

Object Type	Comme	Ref Object	Inside Of	X Position	Y Position	Z Position	Tilt About X	Tilt About Y	Tilt About Z	Material	X Half Width	Y Half Width	# X Pixels	# Y Pixels	Data Type	Color	Smoothing
1 Source File	rayfile_...	0	0	0.000	0.500	0.000	0.000	0.000	0.000	-	50	1E+05	1.000	0	0	0	3.257E-03
2 Standard Lens		0	0	0.000	0.000	5.000	0.000	0.000	0.000	PMMA	50.000 V	8.273E-05 V	10.000	10.000	10.000	-10.242 V	-1.287E-05 V
3 Detector Rectangle		0	0	0.000	0.000	100.000	0.000	0.000	0.000		100.000	100.000	100	100	0	0	0

Type	Surf	Det#	Pix#	Data	# Ignored	Spatial Frequency	Target	Weight	Value	% Contrib
1 DMFS										
2 NSDD	1	0	0	0	0	0.000	0.000	0.000	0.000	0.000
3 NSTR	1	0	0	0	0	0.000	0.000	0.000	0.000	0.000
4 NSDD	1	3	0	0	0	0.000	1.000	1.000	0.701	100.000

